Volume 1

CHAPTER 1

Overview of Intellectual Property

What	t Is Intellectual Property?	1-3
		1-5
		1-14
		1-16.1
[4]		
	· •	1-21
Prote		1-22.4
		1-22.4
		1-23
		1-25
		1-25
		1-26.1
[6]		1-26.3
Stren		1-26.8
	C .	1-26.8
		1-26.8
		1-27
		1-28
		1-28
		1-30
		1-34
[1]	Patents	1-34
[2]		1-36
[3]		1-37
[4]		1-38
[5]	Trademarks and Related Property	1-38
Proce	edure for Protection	1-41
[1]	Patents	1-41
[2]	Copyrights	1-52.16
[3]	Mask Works	1-52.18
[4]	Trade Secrets	1-52.20
	[1] [2] [3] Prote [1] [2] [3] [4] [5] [6] Stren [1] [2] [3] [4] [5] Dura Requ [1] [2] [3] [4] [5] Proce [1] [2] [3]	[2] Subfields of Intellectual Property [3] Emergence of Intellectual Property as

	[5]	Trad	emarks and Related Property	1-52.21
		[a]	Choosing Commercial Symbols	1-52.22
		[b]	Securing Legal Protection by Use	
			or Registration	1-52.23
		[c]	Federal Registration Procedure	1-52.24
		F-3	[i] Bases for Federal Registration	1-55
			[ii] Requirements for a Filing	
			Date	1-62
			[iii] Requirements for a Complete	
			Application	1-62.10
			[iv] Prosecuting the Application	1-62.22
			[v] Post-Registration "Maintenance"	
			Requirements	1-62.37
		[d]	Statutory Trademark Notice	1-62.55
§ 1.07	Infrir		t	1-62.57
	[1]		nging Activities	1-62.57
		[a]	Limited-Term Intellectual Property	1-62.57
		[b]	Unlimited-Term Intellectual Property	1-66
	[2]		dards of Infringement	1-67
		[a]	Limited-Term Intellectual Property	1-68
		[b]	Unlimited-Term Intellectual Property	1-73
§ 1.08	Two		gms of Intellectual Property Law	1-76
	[1]		Constitutional Paradigm: "Strong"	
		Pı	rotection for a Limited Time	1-76
	[2]	The	Interstate Commerce Paradigm:	
		7"	Weak" Protection for Potentially	
		U	nlimited Time	1-79
	[3]	Fede	ral Preemption	1-81
§ 1.09	Interi	nationa	l Protection of Intellectual Property	1-90
	[1]	Terri	toriality	1-90
	[2]	Trea	ties and International Conventions	1-94
		[a]	The Paris Convention	1-95
		[b]	Other Patent Conventions	1-98
		[c]	The Madrid (Trademark) Agreement	
			and Madrid Protocol	1-100.1
		[d]	The Copyright Conventions	1-106
		[e]	Modern Treaties	1-110
	[3]	Effec	ets and Problems of International	
		C	onventions	1-111
		[a]	The Principle of National	
			Treatment—Its Distinction	
			from Reciprocity	1-112.1
		[b]	Minimum Standards	1-114
		[c]	The Relationship Between Treaties	
			and Domestic Law	1-115
§ 1.10			Property as Collateral	1-119
	[1]		rity Interests in Trademarks	1-121
	[2]		rity Interests in Copyrights	1-124
	[3]	Secu	rity Interests in Patents	1-126

CHAPTER 1A

The TRIPS Agreement and the GATT Uruguay Round

§ 1A.01	Introd	luction: The Importance of the Uruguay	
	Ro	ound Agreements	1A-4
§ 1A.02		et of the Uruguay Round Agreements	1A-8
	[1]	The GATT 1947 and the GATT 1994	1A-8
	[2]	Breakthroughs of the Uruguay Round	
		Agreements	1A-10
		[a] Intellectual Property	1A-11
		[b] Nontariff Barriers	1A-12
		[c] Services	1A-13
		[d] Dispute Resolution	1A-13
§ 1A.03	The U	Jruguay Round Agreements and the	
	Wo	orld Trade Organization	1A-18
	[1]	The World Trade Organization (WTO)	1A-18
	[2]	The Multilateral Trade Agreements	
		(Including the TRIPS Agreement)	1A-19
		[a] Identification of the Agreements	1A-19
		[b] Membership in the World Trade	
		Organization (WTO)	1A-22
	[3]	The Plurilateral Trade Agreements	1A-23
§ 1A.04		t of the Uruguay Round Agreements on	
·		omestic Law	1A-25
§ 1A.05		tive Date of the WTO and TRIPS Agreements	
o .		d Transition Rules	1A-29
	[1]	Entry into Force	1A-29
	[2]	Transition Rules	1A-30
	[3]	Table of Transition Rules	1A-33
§ 1A.06		antive Requirements of the TRIPS	
o .		greement	1A-34
	[1]	General Requirements	1A-34
		[a] National Treatment	1A-35
		[b] Most-Favored-Nation Treatment	1A-36
		[c] Exhaustion or First-Sale Doctrine	1A-38
	[2]	Specific Requirements for Patents	1A-39
	[-]	[a] Patentable Subject Matter	1A-39
		[i] Near-Universal Coverage	1A-39
		[ii] Product and Process Patents	1A-41
		[iii] The "Public Order" Exception	1A-41
		[b] Exclusive Rights	1A-42
		[c] Compulsory Licensing	1A-44
		[d] Term of Protection	1A-47
		[e] Proof of Process Patent Infringement	1A-47
	[3]	Specific Requirements for "Undisclosed	111 1/
	[2]	Information" (Trade Secrets)	1A-49
		[a] Requirements for Protection	1A-49
		[a] Requirements for Frotection	171-47

xvi		INTELLECTUAL PROPERTY LAW	
71 71			1A-50
		[b] Misappropriation	
	[4]	Specific Requirements for Copyrights	
	[+]	[a] Idea/Expression Dichotomy	
		[b] Protection of Computer Programs	1A-32
		and Data Bases	1A-53
		[c] Rental Rights	
		[i] Computer Programs	
		[ii] Cinematographic Works	
		[iii] Phonograms (Sound	1A-33
		Recordings)	1A-56
		[d] Term of Protection	
		[e] Neighboring Rights	
		[i] Performers' Rights	
		[ii] Phonogram Producers' Rights.	
		[iii] Broadcasters' Rights	
		[iv] Limitation	
	[5]	Specific Requirements for Semiconductor	1A-01
	[2]	Chip Protection	1A-61
		[a] Substantive Norms Derived from	
		WIPO IC Treaty	1A-62
		[i] Provisions Incorporated	
		[ii] Legal Form of Protection	
		[iii] Other Issues	
		[iv] Interaction Between WIPO IC	
		Treaty and TRIPS	
		Agreement	
		[b] Exclusive Rights	
		[c] Innocent Purchaser Exemption	
		[d] Compulsory Licensing	
	[7]	[e] Term of Protection	1A-71
	[6]	Specific Requirements for Trademark	1 4 72
		Protection	1A-73
		[a] The Subject Matter of Trademark Protection	1A-73
		[b] Rights in Trademarks	
		[i] Likelihood of Confusion	
		[ii] Curtailing Trademark Piracy.	
		[iii] Nonidentical Goods or	114-/3
		Services	1A-76
		[iv] Other Issues	
		[c] Term of Protection	
		[d] Use of Trademarks and Special	

Trademarks.....

Licensing and Assignment of

Indications.....

Geographical Indications Generally...

Specific Requirements for Geographical

[e]

[a]

[7]

1A-77

1A-79

1A-79

1A-80

		TABLE OF CONTENTS	XV11
		[b] Geographical Indications for V	
	[8]	and Spirits	
		Designs	
	[9]	Protection of Existing Matter	
		[a] What Is the "Date of Application	
		[b] General Rule for Pre-Existing N[c] Restoration of Copyright in Co	ertain
		Public-Domain Works	
		[d] Protection of Patentable Produ Fields of Pharmaceuticals a	nd
		Agricultural Chemicals	
		[i] Interim Applications [ii] Interim Exclusive Mark	eting
		Rights	
§ 1A.07		dial Requirements of the TRIPS Agreen	
	[1]	Mandatory Remedies	
		[a] Preliminary Relief	
		[b] Injunctions	
		[c] Declaratory Relief	1A-104
		[d] Border Measures (Exclusion fi	rom
		Importation)	1A-104.1
		[e] Damages	1A-107
		Contraband	
		Trademark Counterfeiting a	and
		Commercial Copyright Pira	cy 1A-109
	[2]	Optional Remedies	1A-110
	[3]	The "Escape Clause"	1A-112
		Clause"	1A-112
		[b] Interpretation of the Clause	
§ 1A.08	Reau	irements for Legal and Administrative	
· ·		ocedure	1A-115
	[1]	General Requirements	
	[2]	Rights of Appeal	
	[3]	Transparency	
§ 1A.09		orming Changes in United States Law	
· ·	[1]	Legislative Changes Under the Urug	
		Round Agreements Act	
		[a] Changes in Patent Law	
		[b] Changes in Copyright Law	
		[c] Changes in Trademark Law	
	[2]	Changes Not Made	
		[a] Patent Law	
		[b] Copyright Law	
		[c] Semiconductor Chip Protection	
		[d] Trademark Law	

CHAPTER 2

Obtaining Patent Rights

§ 2.01	Intro	duction		2-3
§ 2.02			Subject Matter—Types of Patents	2-13
	[1]		ty Patents	2-13
	[2]		gn Patents	2-14
	[3]	Plant	Patents	2-18
§ 2.03	Prob	lematic	Subject Matter	2-24
	[1]	Intro	duction	2-24
	[2]		lamental Scientific Principles and	
		N	atural Phenomena	2-42.2
	[3]	Math	nematical Formulas and Algorithms	2-52
		[a]	The Supreme Court's Jurisprudence	
			and the Distinction Between	
			Abstract Ideas and Concrete	
			Applications	2-55
		[b]	The Role of Policy	2-64.3
			[i] Statutory Considerations	2-64.5
			[ii] Other Requirements of	2 (4 9
		F.1	Patent Law	2-64.8
	Γ <i>4</i> 1	[c]	Failed Attempts at Finding a Rule	2-64.13
	[4]		ness Ideas	2-96.1
		[a]	The State Street Panel's Invalidation	
			of the "Rule" Against Patenting Business Methods	2-97
		[b]	The Prior User Defense and Implied	2-97
		[Մ]	Validation of Business-Method	
			Patents	2-105
		[c]	Bilski v. Kappos as a prelude to	2-103
		[C]	Alice: No Rigid Test	2-115
	[5]	The	Limited Exception for Medical and	2-113
			urgical Procedures	2-128
§ 2.04	The		Requirement	2-120
y 2.04	[1]		First-to-Invent Rule (1952 Act)	2 132
	[+]		nd First-to-File Rule (2011 Act)	2-136
	[2]		One-Year Statutory Bar	2-148
	[-]	[a]	Printed Publication	2-149
		[b]	In Public Use or on Sale	2-155
	[3]		rity of Invention	2-167
	r- 1	[a]	Conception	2-170
		[b]	Reduction to Practice	2-172
		[c]	Reasonable Diligence	2-176
		[d]	Abandonment, Suppression, or	
			Concealment	2-177
		[e]	Evidentiary Factors	2-184

		TABLE OF CONTENTS	xix
	[4]	Other Aspects of the Novelty Requirement	2-187
		[a] Foreign Patents: Section 102(d)[b] United States Patents on	2-187
		Section 102(e)	2-188
		[c] Reissue and Novelty	2-191
		First-to-File Rules	2-193
§ 2.05	The Ut	ility Requirement	2-203
§ 2.06	The No	onobviousness Requirement	2-210
		The Three-Part Test for Nonobviousness	2-220
	[2]	Objective Factors or "Secondary	
	507	Considerations"	2-225
		"Combination" Patents	2-231
	[4]	Novelty and Nonobviousness: The Synergy	2 222
	F.6.3	of Sections 102 and 103	2-233
		"Obvious to Try": A Troubling Standard	2-238
		The Federal Circuit's "Suggestion" Test	2-241
\$ 2.07		New Uses for Old Products and Processes	2-249
§ 2.07		Disclosure Requirements: Enablement,	
		niteness, Best Mode, and Written	2-260
		Enablement	2-264
		Definiteness of Patent Claims	2-280.3
		Relationship of Disclosure and Definiteness	2-200.3
	[2]	to the "Obvious to Try" Doctrine	2-285
	[4]	Best Mode	2-286
		Enablement Through Deposit of Biological	2 200
	r. 1	Materials	2-291
	[6]	Written Description	2-295
§ 2.08		tent Applicant's Duty of Candor and	
v		uitable Conduct in Patent Prosecution	2-306
	[1]	The Duty of Candor and Included	
		Duty to Disclose	2-309
		[a] Who Has the Duty?	2-310
		[b] What Must Be Disclosed?	2-312
		[i] Knowledge of Materiality	2-312
		[ii] Materiality	2-315
		[c] How to Disclose	2-316
	[2]	Inequitable Conduct (Formerly "Fraud	
	0.1	on the Patent Office")	2-321
§ 2.09		Technical Requirements for Valid	2 22 4 2
		nts	2-324.3
		Double Patenting	2-324.3
0.0.10		Inventorship	2-329
§ 2.10	The Pro	esumption of Patent Validity	2-339

Volume 2

CHAPTER 2A

Scope of Patent Rights

§ 2A.01	Natu	re of Exclusive Rights	2A-3
	[1]	The Power to Exclude and Its Strength	2A-9
	[2]	The Elusive "Experimental Use" Exception	2A-12
	[3]	Patent Suppression and Refusal to License	2A-16
§ 2A.02		ation of Exclusive Rights	2A-18
	[1]	Basic Rules for Computing the Patent Term	2A-20
		[a] The Basic Rule	2A-20
		[b] Relation Back	2A-21
	[2]	Patent Term Adjustment and Extension	2A-24
		[a] Patent Term Adjustment	2A-27
		[b] Extension to Compensate for Federal	
		Regulatory Review	2A-38
	[3]	Effective Dates and Transition Rules	2A-40
		[a] Term Computation	2A-40
		[b] Relation Back	2A-43
		[c] New Reasons for Term Extension	2A-43
		[d] Table of Transition Rules	2A-44
§ 2A.03	Scor	be of Exclusive Rights	2A-44.1
· ·	[1]	Claim Interpretation and "Literal"	
		Infringement	2A-62
		[a] The Importance of Patent Claims	2A-63
		[b] Patent Claim Construction	2A-74
		[c] Evidence Used in Construing Claims	2A-85
		[d] Procedure for Claim Construction	2A-92.4
	[2]	The Doctrine of Equivalents	2A-92.12
		[a] Utility Patents	2A-92.12
		[i] The General Nature of the Doctrine	
		of Equivalents	2A-96
		[ii] The Effect of Prosecution History	
		Estoppel	2A-118
		[b] Design Patents	2A-131
	[3]	Means-Plus-Function Claims	2A-133

	[a] Background and Purpose [b] Identifying Means-Plus-Function	. 2A-134
	Claims	. 2A-139
	[c] Consequences of Means-Plus-Function	0 4 1 4 2
	Claiming	. 2A-143
	[d] Infringement of Means-Plus-Function	24 152
c 2 A 0.4	Claims Butanaiana of Evaluaira Rights	
§ 2A.04	Statutory Extensions of Exclusive Rights	
	[1] Sale of Components, Material, or Apparatus	
	[2] Foreign Assembly	
c 2 A 05		
§ 2A.05	Limitations on Exclusive Rights	
	[a] In General	
	[b] Sale in Substance, Not Form	. 2A-192
	[c] Application to United States	24 104
	Process Patents	. 2A-194
	[d] Foreign Sales and the Process Patent	24 104
	Holder's Importation Right	. 2A-194
	[2] Prosecution History Estoppel or "File	24 100
	Wrapper" Estoppel.	
	[3] Reverse Doctrine of Equivalents	
	[4] Patent Misuse	
	[a] The Traditional Misuse Doctrine [b] Patent Misuse, the Antitrust Laws	
	and Purging	
	[c] The 1988 Patent Misuse Amendments	
	[5] The Repair Doctrine	. 2A-214.1
	[6] Elimination of Licensee Estoppel: The Licensee's Right to Challenge	
	Patent Validity	
	[7] Regulatory Testing of Health Care Products	. 2A-229
	[8] Defense to Infringement Based on Prior	
	Commercial Use	. 2A-230.3
§ 2A.06	Some International Aspects of Patent Protection	. 2A-230.5
	[1] International Protection in General	. 2A-230.5
	[2] Some General Principles of Patent	
	Statutes Abroad	. 2A-230.9
	[a] Similarities	. 2A-230.10
	[b] Differences	. 2A-230.12
§ 2A.07	The Patent Cooperation Treaty	. 2A-230.18
§ 2A.08	Foreign Filing Licenses and Secrecy Orders	. 2A-234
	[1] Invention Screening and "Foreign Filing	
	Licenses"	. 2A-234
	[a] The Screening Process	. 2A-235
	[b] Foreign Filing Licenses	
	[c] Procedures for Obtaining Foreign	
	Filing Licenses	. 2A-242

	TABLE OF CONTENTS	vii
	[d] Scope of Foreign Filing Licenses [e] Retroactivity	2A-244 2A-251 2A-253
	CHAPTER 3	
	Products of Patented Processes	
§ 3.01 § 3.02	Processes and Their Products Products Not Covered [1] The "Material Change" Exemption [a] The "But for" Test [b] The "Basic Utility" Test.	3-2 3-7 3-7 3-7 3-8
	[2] The "Trivial and Nonessential Component" Exemption	3-9
§ 3.03 § 3.04	[3] Coverage or Protection Limited to Products of Manufacturing Processes	3-12 3-13 3-15
	Infringement"	3-16
	of Remedies?	3-18 3-19
§ 3.05	Persons; Patent Marking	3-19 3-23 3-24
	Problems for Resellers	3-24 3-25 3-26 3-27
	[2] Responses to Requests for Disclosure	3-28 3-28 3-30
	[ii] Mitigating Circumstances	3-32 3-32 3-33 3-34
§ 3.06	[a] Automatic Notice of Infringement [b] Cumulative Notice of Infringement Presumption of Use of Patented Process	3-34 3-36 3-38 3-38
		(Rel. 66)

viii	INTELLECTUAL PROPERTY LAW	
	[2] Reasonable Effort to Determine Process	3-39
§ 3.07	Used	3-39
	CHAPTER 4	
	Trade Secrets	
§ 4.01	Overview of Trade Secrets	4-3 4-3
	[1] Unusual Features of Trade Secrets	4-3
	[3] Sources of Law.	4-11
	[a] Common Law	4-11
	[b] The Uniform Trade Secrets Act	4-15
	[c] The Defend Trade Secrets Act of 2016	4-16
§ 4.02	The Subject Matter of Trade Secret Protection	4-17
	[1] The Definition of "Trade Secret"	4-19
	[a] The <i>Third Restatement</i> 's Definition	4-19
	[b] The Uniform Trade Secret Act's	4.01
	Definition	4-21
	[c] The Differences Between the <i>Third</i>	
	Restatement's and the Uniform Trade Secrets Act's Definitions	4-22
	[2] The Rejected Exceptions for Transitory	4-22
	and Negative Information	4-25
	[a] The Rejected Exception for Information	7-23
	of Temporary or Transitory Use	
	or Value	4-25
	[b] The Rejected Exception for	
	Negative Results	4-26
	[3] Examples of Trade Secrets	4-26.1
	[4] Employees and Public Policy	4-32
	[5] Customer Lists	4-37
§ 4.03	The Fundamental Requirements for a Trade Secret: Limited Availability, Economic Value, and	
	Relative Secrecy	4-41
	[1] Limited Availability	4-48
	[a] Absence of Absolute Standard	4-50
	[b] Availability in the Relevant Industry	4-51
	[c] Ready Ascertainability	4-53
	[2] Economic Value	4-57
	[3] The Requirement for Reasonable Efforts	4-61
	[a] Security Measures	4-64
	Licensees, and Customers	4-68
	[c] Inadequate Efforts	4-08
	[d] Contractual Requirements for	7-12
	"Reasonable Efforts"	4-73

	TABLE OF CONTENTS	12
	[4] The First Restatement's Six Factors	4-74
	[5] Practical Evidentiary Factors	4-76
	[a] Specificity	. 4-76
	[b] Removal of Tangible Records	
	[c] Inequity	
§ 4.04	"Exclusive" Rights in Trade Secrets and	
	Misappropriation	
	[1] Duration of Legal Protection	
	[2] Misappropriation and Improper Means	4-83
	[a] Misappropriation and the Concept of	
	Improper Means	
	[b] Proper Means and Shared Ownership	
	[c] Reverse Engineering	4-93
	[3] Product Modification and Improvement:	
	Determining Whether a Trade Secret Has Been Used	4-96
	[4] Availability Through Proper Means:	4-90
	The "Could Have" Defense	4-100
	[5] Indirect and Accidental Misappropriation	
	[a] The State-of-Mind Requirements	
	[b] The Timing of Knowledge or Notice	
	[c] "Reasonable Efforts" to Protect	. 100
	the Secret	4-108
	[6] Are Trade Secrets "Property"?	4-110
	[7] Relief from Misappropriation and the "Head	
	Start" Period	4-114
	[a] Injunctive Relief and the "Head Start"	
	Period	
	[b] Monetary Relief	
§ 4.05	Trade Secrets and Contracts	4-128
	[1] The Effect of Tort Law and Confidential	
	Relationships	
	[a] The Employee-Employer Relationship	
	[b] Nonemployee Relationships	
	[c] No Relationship	4-140
	[2] Contractual Protection of Confidential	4 141
	Information.	4-14
	[3] The Practical Value of Nondisclosure	4-143
	Covenants	4-14.
	Trade Secret Protection	4-144
	[b] Expanding the Scope of Relief	
	[c] Risk of Impairing Common-Law	1 1 1
	Protection	4-148
	[d] Minimum Requirement for Limited	
	Availability	4-149
	[4] Nondisclosure and Noncompetition Covenants	
§ 4.06	Trade Secrets and Patents	

	[1] T	he Election Between Patent and Trade	
		Secret Protection	4-154
		Hybrid" Licensing Agreements	4-160
§ 4.07		tional Aspects of Trade Secret Protection The Weakness of Trade Secret	4-164.1
	[1] T	Protection Abroad	4-164.1
	[2] T	The Value of Practical Measures Abroad	4-104.1
§ 4.08		sure of Trade Secrets to and by the	4-107
0		ernment	4-169
	[1] G	Sovernment Contracts: Protection of Technical	
		Data and Computer Software	4-169
	[8	a] Protection of Technical Data	4-174.1
		[i] Noncommercial Regime	4-179
		[ii] Commercial Regime	4-189
	[1]	b] Protection of Computer Software,	
		Documentation, and Databases	4-191
		[i] Commercial Regime	4-191
		[ii] Noncommercial Regime	4-196
		[iii] The Commercial/Noncommercial	
	_	Distinction for Software	4-200
	[c	Notification, Restrictive Legends and	
		Markings, and Their Validation	4-202
		[i] Identifying Restricted Matter	4-203
		[ii] Restrictive Markings	4-207
		Restrictions	4-211
	Γα	d] Subcontractors' Rights	4-211
	-	e] The Effect of Defense Department	7-217
	Ĺ	Rules	4-216
	[2] G	Governmental Use or Disclosure of	7 210
	[-]	Information	4-220
	ſa	a] Freedom-of-Information-Act	
	Ľ	Disclosure	4-221
	ſŀ	Notice and Challenge Procedures	4-223
	-	Disclosure in Litigation	4-226
		Compensation for Disclosure or Use by	
		the Government	4-231
	[8	a] Compensation Under the Fifth	
		Amendment	4-231
	[1]	o] Compensation Under Federal Statute or	
		State Law	4-234
		CHAPTER 5	
		What Is Copyrighted?	
0.7.01	0.1.	M. (CC . 11)	
§ 5.01		Matter of Copyright	5-2 5-4
	\mathbf{H}	ICHCIAL ACODE OL AHDICCI MAHCI	.)-4

		TABLE OF CONTENTS	xi
	[2]	The Idea/Expression Dichotomy	5-11
		[a] The Basic Doctrine	5-11
		[b] Underlying Policies	5-15
		[c] The "Levels of Abstraction" Test	5-16.2
	507	[d] Merger of Idea and Expression	5-18
	[3]	Assessing Infringement: Access and	5 21
§ 5.02	Prol	Substantial Similarityblematic Categories of Copyrighted Subject	5-21
y 3.02		Matter	5-25
	[1]	Computer Programs	5-25
		[a] The 1980 Software Amendments	5-26
		[b] Case Law	5-27
		[c] Computer-Generated Works	5-38.9
	[2]	Compilations and Databases	5-38.10
		[a] Compilations of Data as	
		Copyrighted Works	5-38.10
		[b] The Theoretical Basis of Compilation	
		Copyrights: "Sweat of the Brow"	
		Doctrine Rejected	5-38.15
		[c] Copyright Protection for Random-Access	
		Databases	5-38.18
		[i] Copying the Arrangement	5-38.19
		[ii] Copying the Selection	5-38.27
		Protection	5-38.30
		[i] The Numbers Approach	5-38.32
		[ii] The Categories Approach	5-38.32
		[iii] Congruence of Markets	5-38.33
		[iv] An Economic Approach	5-38.35
		[v] Conclusion: The Statutory	
		Approach	5-38.37
	[3]	Useful Articles	5-38.38
		[a] Ornamental Aspects of Useful Articles	5-39
		[b] Pictures of Useful Articles	5-46
		[c] Plans, Drawings, and Models for	
		Useful Articles	5-48
		[i] Eligibility of Plans, Drawings,	
		and Models for Copyright	
		Protection	5-48
	F 47	[ii] Limits on Protection	5-50
	[4]	Architecture.	5-54
		[a] The Separate Legal Regime for	5.50
		Building Designs	5-56
		[b] Subject Matter Covered:	5.50
		Building Designs	5-59
		[c] Limitations on Copyright Protection for	5 (0
		Building Designs	5-60
	[5]	[d] Prospectivity of Protection	5-60.3 5-60.5
	[5]	The Law	
			(Rel. 66)

[b]

	TABLE OF CONTENTS	xiii
§ 5A.03	The Two Anti-Trafficking Rules	5A-47
	Defeat Access Controls	5A-52
	Defeat Use Controls	5A-52.3
	Liability	
	Amendment	5A-56.2
	[a] The Reimerdes Decision[b] A Closer Look at the First Amendment as Applied to the Anti-Trafficking	5A-56.3
	Rules	5A-67
	[i] Is Binary Executable Code "Speech"?	5A-67
	[ii] Some Useful Analogies	5A-73
	[iii] Beyond Binary Executable	
	Code[iv] The Hard Cases: Mixed-Use	5A-76
§ 5A.04	Technology and Linking Exceptions to Anti-Circumvention and Anti-	5A-80
	Trafficking Rules	5A-86
	[1] Subject-Matter Classification	5A-87
	[2] The "Target Rules" of the Exceptions[3] Two Examples: Encryption Research and	5A-90
	Reverse Engineering	5A-94.1
	[a] Encryption Research	5A-94.1
	[b] Reverse Engineering	5A-98
§ 5A.05	Rules Protecting Copyright Management	
	Information	5A-102
	[1] What Is CMI?	5A-105
	[2] The Basic Rules Protecting CMI	5A-113
	[a] The Three Types of Offenses [i] Providing or Disseminating	5A-114
	False CMI	5A-114
	[ii] Removing or Altering CMI	
	Without Authorization	5A-115
	[iii] Knowingly Disseminating CMI or Works (or Publicly	
	Performing Works, Copies, or	
	Phonorecords) from Which	
	CMI Has Been Removed or	
	Altered Without Authorization	5A-116.1
	[b] State-of-Mind Requirements	5A-117
	[3] Exceptions and Limitations on Liability	5A-126
§ 5A.06	Civil Remedies and Criminal Sanctions	5A-128.1
	[1] Civil Remedies	5A-129
	[2] Criminal Sanctions	5A-135

Volume 3

CHAPTER 6

The Nature of Copyright Protection

§ 6.01		Copyright Owner's Exclusive Rights and	((
		Moral Rights	6-6 6-26.2
	[1]	The Reproduction Right	
		[a] Evanescent Copies and Phonorecords	6-26.7
		[b] What Is "Transient Duration"?	6-26.11
		[c] The Exception for Computer	()(1)
	[2]	Maintenance and Repair	6-26.12
	[2]	The Derivative Work (Adaptation) Right	6-26.16
		[a] Definition of "Derivative Work"	6-26.16
		[b] Distinction Between Derivative Works	(0 (17
		and Copies	6-26.17
		[c] The Relationship Between Derivative	
		Works and Underlying Works	6-26.19
		[i] The "Value-Added" Principle	6-26.19
		[ii] The Independent Copyright	
		Principle	6-26.21
		[iii] The "Standoff" Principle	6-26.22
		[d] Scope of the Derivative Work Right	6-26.26
		[e] The "Outer Limits" of the Derivative	
		Work Right: Uncopyrighted	
		Derivative Works	6-26.30
	[3]	The Distribution Right	6-26.39
		[a] Scope of Distribution Right	6-26.39
		[b] First-Sale Doctrine	6-26.44
		[i] Codification in Section 109	6-26.47
		[ii] Exceptions for Phonorecords and	
		Computer Programs	6-26.49
		[iii] Inapplicability to First Sales of	
		Copies or Phonorecords	
		Lawfully Made Abroad	6-26.54
	[4]	The Rights of Public Performance and	
		Public Display	6-26.58
		[a] Types of Works Covered	6-26.58

	[b] B:	readth of Performance Right	6-26.60
		readth of Display Right	6-26.63
	[d] W	That "Publicly" Means	6-26.65
	[i]	"Public Place" Clause	6-26.65
[5]	[ii The Spe	Transmission" Clauseecial Regime for Sound Recordings	6-26.66
[0]		Phonorecords	6-26.67
		efinition of Sound Recordings	6-27
		imitations on Exclusive Rights:	0 27
		"Mechanical" Reproduction Rights	
		and Performance Rights Limited to	
		Digital Audio Transmissions	6-27
	[c] Pl	nonorecord Compulsory Licensing of	
		Nondramatic Musical Works	6-32.1
		kebox Licensing	6-32.6
		erforming Rights Societies	6-34
	[f] N	onprofessional Reproduction of	
		Musical Recordings	6-35
	[i]		
		by Consumers	6-36.1
	[ii		
		Recording Equipment	
		and Media	6-36.9
	Lii	ii] Enforcement: Civil Actions and	
		Remedies	6-36.13
		he Digital Audio Transmission Right	6-36.17
[6]		Rights	6-36.19
		Vorks Covered	6-39
		ature of Moral Rights	6-44
	[i]		6-44
	_	Right of Integrity	6-46
	[ii	ii] Inalienability and Waiver of	
		Moral Rights	6-47
		v] Moral Right in Joint Works	6-49
	[c] D	uration of Moral Rights	6-49
		nforcement of Moral Rights	6-50
		reemption of Moral Rights	6-50
[7]		ers' Rights	6-52
		imitations of Section 1101	6-55
		xclusive Rights	6-58
	[i]		6-58
	[ii	Transmission or Communication Right	6-58.1
	ſii		0 20.1
	L	Right	6-58.2
	[c] R	emedies	6-58.4
		atisfaction of TRIPS Agreement	0 00.7
	[4] 50	Obligations	6-58.5
[8]	Fair Us	e	6-58.6
	_ ~		• •

			TABLE OF CONTENTS	vii
§ 6.02	Ownership of Copyright			
Ü	[1]		right and Copy Distinguished	6-58.29
	[2]	The	Divisibility of Copyright	6-58.30
	[3]	Who	Owns the Copyright?	6-58.36
		[a]	Who Is the Author?	6-59
		[b]	Works Made for Hire	6-59
			[i] The Two Classes of Works	
			Made for Hire	6-60
			[ii] The Causes of Past Judicial	
			Confusion	6-61
			[iii] Judicial Interpretation:	
			The Multifactor Agency-Law	
			Test for "Employment"	6-63
			[iv] Consequences of Work Made for	(((
		[.]	Hire Status	6-66
		[c]	Joint Works	6-68
			[i] Definition of Joint Work[ii] Consequences of Joint Work Status	6-69 6-71
				0-/1
			[iii] Joint Works Compared with Derivative Works and Works	
			Made for Hire	6-73
		[d]	Collective Works	6-74
	[4]		Source	6-76
§ 6.03			f Copyright	6-76.9
3	[1]		sfers Permissible	6-76.9
	[2]		opriation	6-76.17
	[3]		irements to Effect a Transfer	6-76.17
		[a]	The Statute of Frauds	6-76.18
		[b]	Recordation	6-76.22
			[i] Effects of Recordation	6-76.22
			[ii] Requirements for Recordation	6-76.23
§ 6.04	Dura		f Copyright	6-77
	[1] Term of Copyright: Works Created on or			
			fter January 1, 1978	6-96
		[a]	Works by Identified, Individual	
			Authors	6-96
		[b]	Anonymous and Pseudonymous	(0 (2
		[.]	Works	6-96.3
		[c]	Works Made for Hire	6-96.5 6-96.5
	[2]	[d]	Records Aiding Fact Findingks Created Before January 1, 1978	6-96.5 6-96.6
	[2]		Works Not Published Before	0-90.0
		լայ	January 1, 1978	6-96.6
		[b]	Works in Their Initial Term on	0 70.0
		ΓοΊ	January 1, 1978	6-96.7
		[c]	Works in Their Renewal Term on	- > 0.7
		r.1	October 27, 1998	6-96.14
		[d]	The Ninety-Five-Year Rule for Works	
			Published Before 1978	6-96.16

[3]			n of Copyright in Certain Works	
			ign Origin	6-96.17
	[a]		ks Subject to Restoration	
			f Copyright	6-96.25
		[i]	National Eligibility	
			Requirements	6-100
		[ii]	Nonexpiration of Putative Term of	
			Copyright in the United	
			States	6-105
		[iii]		
			Copyright Term and the	
			"Source Country"	6-107
	[b]		General Nature of Copyright	
		R	estoration	6-112
		[i]	Automatic Operation and Benefits	
			of Registration	6-114
		[ii]	Date of Restoration	6-114
		[iii]	General Effects of Restoration	6-116.1
		[iv]	Renewal of Restored 1909 Act	
			Copyrights	6-116.3
	[c]	Rem	edies with Respect to Persons Other	
		T	han Reliance Parties	6-126
		[i]	Grace Period for Disposal of	
			Pre-Manufactured Copies	6-127
		[ii]	The Rule of Prospectivity of	
			Remedies	6-130
	[d]	Rem	edies with Respect to	
	F J		eliance Parties	6-131
		[i]	Notice of Intent to Enforce	
		F-3	Copyright and Its Effect	6-134
		[ii]	Compulsory Licensing of Certain	
		[]	Derivative Works	6-150.1
		[iii]	Statutory Damages and	0 10 0.1
		[]	Attorneys' Fees	6-150.9
	[e]	Who	Is a Reliance Party?	6-150.10
	[c]	[i]	Continuing Infringers	6-150.11
		[ii]	Makers or Acquirers of Copies	0 130.11
		[11]	or Phonorecords	6-150.12
		[iii]	Successors, Assignees, and	0 130.12
		[111]	Licensees	6-150.13
		[iv]	Other Reliance Parties and the	0-130.13
		[11]	Limited Role of Actual	
			Reliance	6-150.15
		[v]	Timing Issues and the Phrase	0-130.13
		[v]	"Becomes an Eligible	
			Country"	6-150.17
	ΓfΊ	Effec	ct of Copyright Restoration on	0-130.1/
	[f]		greements Relating to Restored	
			opyrights	6-150.20
			opyrigins	0-130.20

		TABLE OF CONTENTS	ix
		[i] License Agreements	6-150.21
		Reliance Parties	6-150.21
		[iii] Warranties and Indemnification and Agreements to Infringe.	6-152
		[g] Ownership of Restored Copyrights	
§ 6.04A	Stati	utory Termination of Copyright Transfers	
	a	nd Licenses	6-155
	[1]	The Evolution of the Termination Right and	
		the Four Kinds of "New Estates"	
	[2]	What Grants Are Terminable? [a] The Exclusion of Works Made for	6-166
		Hire	6-169
		[b] The Derivative Works Exception	
		[c] The Exclusion of Testamentary Transfer	
	[3]	Termination of Pre-1978 Grants	6-184
		[a] The Time Window for Terminating	(105
		Pre-1978 Grants	
		[b] Who May Have Executed a Terminal	
		Pre-1978 Grant	0-194
		Pre-1978 Grant	6-197
		[i] Grants by a Sole Author	
		[ii] Grants by Coauthors in Joint	
		Works	6-198
		Nonauthors	6-200.2
		[iv] Termination Through Agents	
		[d] Procedure for Terminating Pre-1978	
		Grants	6-200.5
		[e] The Effect of Terminating a Pre-1978	
		Grant	
		[i] Grants by Nonauthors	
		[ii] Grants by Sole Authors	
	[4]	[iii] Grants by Coauthors Termination of Post-1977 Grants	
	[+]	[a] The Time Window for Terminating	0-212
		Post-1977 Grants	6-212.1
		[b] Who May Terminate Post-1977 Grant	0-212.1 :S:
		Authors and Their Statutory	
		C	6-213
		[c] The Procedure for Terminating	
		Post-1977 Grants	6-216
		[d] The Effect of Terminating a	
		Post-1977 Grant	6-218
	[5]	Statutory Protection of the Termination Righ	t 6-221
	[6]	Effect of Termination on Contractual	C 222
8 6 05	Con	Provisions	
§ 6.05	Cop	yright Misuse	0-220

CHAPTER 7

Copyright Formalities: Notice, Registration and Deposit

§ 7.01	Introduction: The International Copyright Conventions and United States Copyright	
	Formalities	7-2
§ 7.02	Copyright Notice	7-6.1
o .	[1] The 1909 Act Regime	7-6.1
	[2] The Pre-Berne 1976 Act Regime	7-8
	[a] When Was Notice Required?	7-9
	[b] Form of Notice	7-12
	[i] General Form Requirements	7-12
	[ii] Compilations, Derivative Works,	
	Useful Articles, and Government	
	Works	7-14
	[iii] Phonorecords	7-14
	[iv] Collective Works and Contributions	
	to Them	7-14
	[c] Placement and Affixation of Notice	7-16.7
	[d] The Unit Publication Doctrine	7-17
	[e] Omission of Notice and Saving	
	Provisions	7-18
	[i] Relatively Small Number of	
	Copies	7-19
	[ii] Reasonable Effort to Cure	
	Omission	7-20
	[iii] Licensee's Use of Notice	7-23
	[f] Omitted Notice and the "Innocent	
	Infringer"	7-24
	[g] Defective Copyright Notice	7-26
	[i] Incorrect Name in Copyright	
	Notice	7-26
	[ii] Incorrect Year-Date in Notice	7-28
	[3] The Post-Berne 1976 Act Regime	7-29
§ 7.03	Registration	7-31
	[1] Overview of Registration	7-33
	[2] Effects of Registration	7-36
	[a] Evidence of Ownership and Validity	
	of Copyright	7-38
	[b] Prerequisite to Infringement Suit	7-39
	[i] Berne Accession Changes	7-40
	[ii] Works Still Subject to the	
	Registration Prerequisite:	
	Overview	7-41

		TABLE OF CONTENTS	xi
		iii] Works Still Subject to the	
		Registration Prerequisite:	7.42
		Unpublished Works	7-43
	ļ	[iv] Works Still Subject to the	
		Registration Prerequisite: Published Works	7-44
		[v] Works Still Subject to the	/ = 4 -4
		Registration Prerequisite:	
		Audiovisual Works	7-46
	1	[vi] Works Still Subject to the	, 10
	'	Registration Prerequisite:	
		Works Incorporated in Buildings	
		or Structures	7-48
		vii] Works Still Subject to the	
		Registration Prerequisite:	
		The Effect of the	
		Work-Made-for-Hire Doctrine	7-49
		[viii] The Exception for Simultaneously	
		Recorded Live Transmissions	7-50
	[c]	Prerequisite to Recovery of Statutory	
		Damages and Attorneys' Fees	7-51
		Γiming of Registration	7-56
		The "Commencement" Rule for	
		Statutory Damages and	7.55
		Attorneys' Fees	7-57
		iii When Registration is Effective	7-59
		[iii] Expediting Registration	7-60
\$ 7.04		Iechanics of Registration	7-61
§ 7.04		Requirements	7-63 7-63
		latory" Deposit	7-63 7-64
		Exceptions: Overview	7-64
		Computer Program Deposits	7-67
		i] Protection of Trade Secrets	7-68
		ii] Protection of Screen Displays	7-69
	'	110000000 01 001000 D10p10010	, 0)
		CHAPTER 8	
	Se	emiconductor Chip Protection	
§ 8.01	Technical D	ackground	8-3
γ σ.σ1		onductor Chip Products	8-4
		Fabrication	8-6
	[3] The M	Ianufacturing Process	8-7
		Design	8-8
	[5] Econo	mics of Semiconductor Chip	
	Pro	duction	8-10

§ 8.02			e History of the Chip Act	8-15
	[1]	Need	d for the Chip Act	8-15
	[2]	Outl	ine of Legislative History	8-18
	[3]		Switch from Copyright to Sui Generis	
			rotection	8-21
§ 8.03			Subject Matter	8-24
	[1]	The	Nature of Protected Designs	8-24
		[a]	Semiconductor Chip Products	8-24
		[b]	Mask Works	8-26
	[2]	Fixa	tion	8-27
		[a]	Fixation Other Than in a Chip	8-28
		[b]	Fixation in Nonvolatile Chip Memory	8-30
		[c]	Authorization of Mask Work Owner	8-30
	[3]	Orig	ginality	8-31
		[a]	The Statutory Requirement	8-31
		[b]	Absence of Copying	8-32
		[c]	Creativity	8-32
		[d]	Prior Art	8-32
		[e]	The No-Dissection Rule	8-33
		[f]	Independent Creation	8-33
		[g]	Burden of Proof	8-35
		[h]	Mask Work Genericide	8-36
	[4]	Idea	/Layout Dichotomy	8-36
		[a]	The Basic Doctrine	8-37
		[b]	Merger of Idea and Layout	8-37
§ 8.04	Excl	usive	Rights in Mask Works	8-39
	[1]	The	Reproduction Right	8-39
		[a]	Types of Reproduction Covered	8-39
		[b]	Substantial Similarity	8-40
	[2]	The	Distribution Right	8-42
	[3]	The	Importation Right	8-43
	[4]	Cont	tributory Infringement	8-44
§ 8.05	Limi		s on Exclusive Rights	8-45
	[1]		erse Engineering	8-45
		[a]	Statutory Language	8-45
			[i] Copying as a First Step	8-46
			[ii] Objects of Reverse Engineering	8-46
			[iii] Commercial Exploitation of	
			Results	8-48
		[b]	Reverse Engineering and Copying	
			Distinguished	8-49
			[i] What the Reverse Engineering	
			Exemption Seeks to Permit	8-50
			[ii] The Assumption of No Middle	
			Ground	8-52
			[iii] The Analytical Tool Kit	8-53
		[c]	Cells and Cell Libraries	8-60.1
			[i] Cells and Substantial Similarity	8-61
			[ii] Cells and Reverse Engineering	8-62

		TABLE OF CONTENTS	xiii
	[2]	The Innocent Purchaser Exception	8-63
		[a] The Statutory Exemption	8-64
		[i] Nature of Notice	8-65
		[ii] Notice of Authorization	8-65
		[iii] Effect of Notice	8-67
		[b] Reasonable Royalty	8-68
		[c] Downstream Purchasers and Use of	
		Chips in Products	8-69
	[3]	First-Sale Doctrine	8-70
§ 8.06	Terr	m and Nature of Protection	8-72
	[1]	Term of Protection	8-72
	[2]	Commercial Exploitation	8-73
		[a] Statutory Definition	8-73
		[b] Delivery of Prototypes	8-74
		[c] Written Offers	8-75
	[3]	Retroactivity	8-75
	[4]	Constitutional Authority	8-76
	[5]	Effect on Other Laws	8-77
		[a] General Effect on Other Federal Laws	8-77
		[b] Patents	8-77
		[c] Copyrights	8-78
		[d] State Laws	8-80
		[i] The Equivalency Test	8-80
		[ii] Trade Secrets and Preemption	8-81
		[e] Trademark and Trade Dress Protection	8-83
§ 8.07	Mas	k Work Registration, Notice, Ownership, and	
	T	ransfer	8-85
	[1]	Registration of Mask Works	8-85
		[a] The Registration Process	8-86
		[b] Evidence of Validity	8-88
	[2]	Ownership of Mask Works	8-90
	[3]	Transfer and Licensing of Mask Works	8-91
		[a] Recordation of Transfers	8-92
		[b] Nonexclusive Licenses	8-93
	[4]	Standing to Sue for Infringement	8-93
	[5]	Mask Work Notice	8-94
		[a] Form and Placement of Notice	8-94
		[b] Effect of Notice	8-95
§ 8.08	Inte	rnational Considerations	8-98
	[1]	Requirements for Protection of Foreign	
		Mask Works	8-99
		[a] First Commercial Exploitation in the	
		United States	8-100
		[b] Nationality or Domicile Requirements	8-100
	[2]	Statutory Incentives for Reciprocity	8-101
		[a] Presidential Proclamation	8-101
		[b] Interim Orders	8-102
	[3]	International Treaties	8-105

CHAPTER 9

Trademarks and Unfair Competition: What Qualifies for Protection

§ 9.01	Trac	le Symbols: The Subject Matter of Protection	
		Under Trademark Principles	9-2
	[1]	Technical Trademarks	9-4
	[2]	Trade Dress	9-9
	[3]	Titles and Characters	9-12
	[4]	Trade Names	9-14.1
	[5]	Service Marks	9-14.3
	[6]	Geographic Trademarks	9-14.6
		[a] Geographic Trademarks Generally	9-14.6
		[b] Geographical Indications for Wines	
		and Spirits	9-14.17
	[7]	Internet Domain Names	9-14.20
		[a] The Technical Regime	9-14.22
		[b] The Regime of Private Dispute	
		Resolution	9-14.29
		[c] The Regime of Law	9-14.52
§ 9.02	Trac	lemark Policies and Requirements for	
	P	rotection	9-15
	[1]	Trademark Policies	9-16
	[2]	Distinctiveness	9-22
		[a] Arbitrary and Fanciful Marks	9-25
		[b] Suggestive Marks	9-26
		[c] Descriptive Marks	9-27
		[d] Generic Marks	9-32.1
		[e] Legal Effect of Place in	
		Distinctiveness Spectrum	9-34.3
		[f] The Relativity of Distinctiveness	9-34.7
	[3]	Secondary Meaning	9-35
		[a] Verbal Trademarks and Trade Names	9-35
		[b] Nonverbal Trademarks and Trade	
		Dress	9-36
		[i] Product Design or Configuration	9-38
		[ii] Packaging and Other Forms of	
		Trade Dress	9-48
		[c] Secondary Meaning in the Making	9-55
		[d] Demonstrating Secondary Meaning	9-57
		[e] Geographic Scope of Secondary	
		Meaning	9-60
	[4]	Nonfunctionality	9-61
		[a] The Basic Doctrine	9-61
		[b] "Aesthetic" Functionality	9-71
	[5]	Other Statutory Restrictions	9-83

Volume 4

CHAPTER 10

Scope of Legal Protection of Trademarks and Other Trade Symbols

§ 10.01	The	Stand	ard for	Infringement: Likelihood	
	0	f Con	fusion .		10-2
	[1]	The	Nature	of "Likelihood of Confusion"	10-7
		[a]	"Like	lihood" as Neither Possibility	
			No	r Certainty	10-8
		[b]		sion as to What?	10-8
			[i]	Confusion as to Affiliation,	
				Approval, or Sponsorship	10-9
			[ii]	Post-Sale Confusion	10-16
			[iii]	Initial Interest Confusion	10-17
			[iv]	Application to Licensing	10-24
	[2]	Cons	sidering	All the Circumstances	10-27
	[3]	App	lying th	ne "Likelihood of Confusion"	
		S	tandard		10-30
		[a]	Streng	gth of the Plaintiff's Mark	10-30
		[b]	Simila	arity of the Marks	10-37
		[c]	Simila	arity of Products	10-41
		[d]	Chanr	nels of Trade	10-42.2
		[e]	Adver	rtising and Promotion	10-42.5
		[f]		e of Customers	10-42.5
		[g]	Intent	or "Bad Faith"	10-42.6
		[h]	Actua	l Confusion	10-42.12
§ 10.02	Strei	ngth a	nd Dura	ation of Trade Symbol Protection	10-42.17
	[1]	Use	and Re	gistration	10-42.18
	[2]	Aba	ndonme	ent	10-42.21
		[a]	Nonus	se	10-42.22
		[b]	Loss	of Trademark Significance	10-42.33
§ 10.03	Trad	lemark	Diluti	on	10-42.38
	[1]	Sour	ces of	Trademark Dilution Law	10-45
		[a]	Federa	al Dilution Law	10-46
		[b]	State	Dilution Law	10-52

	[2] What Is Dilution?	10-56
	[a] Defining the Term "Dilution"	10-57
	[b] Kinds of Dilution	10-58
	[i] Blurring	10-59
	[ii] Tarnishment	10-63
	[iii] Confusion	10-66
	[iv] Unauthorized Alternation of	
	Trademark	10-68
	[c] Federal and International Significance	
	of the Branches of Dilution Doctrine	10-72
	[3] The Requirement of Distinctiveness	10-76
	[a] Federal Law	10-76
	[b] State Law	10-80
	[4] Other Requirements for Protection	10-81
	[a] Similarity of Trade Symbols Used	10-82
	[b] Association with Plaintiff's Mark	
	(or Trade Name)	10-82.2
	[c] The Defendant's Intent	10-82.3
	[5] A Multifactor Test for Dilution?	10-82.5
	[6] Federal Preemption	10-82.6
§ 10.04	Trademark Fair Use	10-83
	[1] The Descriptiveness Branch of Fair Use	10-85
	[2] The Comparison Branch of Fair Use	10-88
	CHAPTER 11	
	Protecting and Transferring Trade Symbols: Use, Registration, Assignment, Licensing,	
	and Counterfeiting	
	<u> </u>	
§ 11.01	Perfecting Protection by Use or Registration	11-3
§ 11.02	Protection Through Use	11-5
	[1] The Common-Law Rule	11-5
	[2] Nature of Use	11-6
	[3] Sources of Common Law	11-8
0.11.02	[4] Geographic Extent of Priority	11-9
§ 11.03	Federal Registration	11-16
	[1] Requirements for Federal Registration	11-16
	[a] Registration Based on Actual Use	11-17
	[b] Registration Based on Intent to Use	11-18.3
	[i] Nature of Application	11-18.4
	[ii] Statement of Use	11-20 11-22
	[iii] <i>Bona Fide</i> Intention to Use	11-22
	[c] Registration Based Upon Foreign Filing or Registration	11-25
	[d] Registration Based on Foreign	11-23
	Registration Lased on Foreign	11-27

		TABLE OF CONTENTS	vii
	[2]	Advantages of Federal Registration on the	
		Principal Register	11-28
		[a] Nationwide Priority	11-28
		[i] Limitations on Filing Priority	11-29
		[ii] Relief During Pendency of	
		Application	11-30
		[b] Foreign Priority	11-32.3
		[c] Exclusion of Infringing Imports	11-32.3
		[d] Trademark Counterfeiting Remedies	11-32.3
		[e] Prima Facie Evidence	11-32.4
		[f] Federal Remedies	11-32.4
		[g] Incontestability	11-32.5
	[3]	Import Exclusion, Parallel Imports, and	11 32.3
		Gray Goods	11-32.8
		[a] Common Fact Patterns and Terminology	11-32.8
			11-35
		[i] Trade Symbols Protected	11-36.1
		[ii] Standard for Similarity (Is Likelihood	11 26 2
		of Confusion Required?)	11-36.2
		[iii] Treatment of Foreigners	11-36.8
		[iv] The Customs Service's	11 26 0
		Regulations	11-36.9
		[c] Application of the Law	11-36.13
		[i] The <i>Katzel</i> Decision and Its	11 26 12
		Aftermath	11-36.13
		[ii] Independent, Unrelated Foreign	11 26 16
		Owner of Trade Symbol	11-36.16
		[iii] Affiliated Foreign Owner of	11 26 10
		Trade Symbol	11-36.18
		[iv] Licensed Foreign Owner of Trade	11 26 24
		Symbol	11-36.24
		[v] Same Entity	11-36.28
		[vi] Matters of Degree	11-36.30
	F 4 7	[d] Impact of the Paris Convention	11-36.34
	[4]	The "Limited Area" Defense	11-36.44
		[a] Nature of the Defense	11-37
		[b] Freezing of Defender's Trade Area	11-39
		[c] Knowledge of Prior Use	11-40
	5.53	[d] Effect of Stronger State Law	11-41
	[5]	Concurrent Use.	11-41
	[6]	Registration on the Supplemental Register	11-45
		[a] Marks Registrable on the Supplemental	11 46
		Register	11-46
		[b] Effect of Registration on the	11 47
0 11 04	Ci.	Supplemental Register	11-47
§ 11.04		e Registration	11-48
§ 11.05		rnational Protection	11-53
	[1]	International Conventions and Priority	11-54

	[2]	Protection Against Unfair Competition	
		Abroad	11-54.2
	[3]	International Registration Under the Madrid	
		Protocol	11-55
		[a] Advantages and Limitations of	
		Madrid-Protocol Protection	11-56.3
		[i] General Advantages	11-56.3
		[ii] The Principles of Central Attack	
		and Local Refusal	11-56.5
		[b] "Outbound" Registration	11-56.12
		[c] "Inbound" Registration	11-56.17
0.11.07	ar i	[d] Renewal and Transfer of Registration	11-56.23
§ 11.06		lemarks and Goodwill	11-56.26
	[1]	Goodwill	11-56.26
	[2]	The Lanham Act's Abandonment and	11.57
0 11 07		"Related Company" Rules	11-57
§ 11.07		gnment and Transfer of Trademarks	11-61
	[1]	The Rule Against Assignments in Gross	11 (1
	F0.7	(Without Goodwill)	11-61
0 11 00	[2]	Statute of Frauds and Recordation	11-63
§ 11.08		lemark Licensing	11-66
§ 11.09		lemark Counterfeiting	11-72.3
	[1]	Congressional Aims and Purposes	11-74.5
	[2]	Marks Protected Against Counterfeiting	11-74.6
	[3]	Definition of "Counterfeit"	11-75
	[4]	State of Mind Requirements	11-80
	[5]	Extenuating Circumstances	11-84
		CHAPTER 12	
		Monetary Remedies	
§ 12.01	Intro	oduction	12-3
§ 12.02		ual Damages	12-5
	[1]	Causation	12-7
		[a] Patents	12-7
		[b] Copyright	12-12
		[c] Semiconductor Chip Protection	12-14
		[d] Trade Secrets	12-15
		[e] Trademarks	12-16.1
	[2]	Patent and Trademark Marking	12-18
	[3]	Amount of Damages	12-25
§ 12.03	Reas	sonable Royalties and Statutory Damages	12-32
	[1]	Reasonable Royalty	12-32
		[a] Patent Cases	12-33
		[b] Trade Secret Cases	12-44
		[c] Trademark Cases	12-44.1
		[d] Congright Coses	12 44 2

	[iii] The Nonmoving Party's Fair and	
	Courteous Conduct in the	12 112 22
	Litigation	12-112.22
	[iv] Lack of Substantial Harm to the	
	Intellectual Property Owner's	12 112 22
	Interests	
	[4] Semiconductor Chip Protection	
	[5] Trade Secret Cases	
	[6] Prevailing Party	12-112.26
	[7] Relationship between Attorneys' Fees and	10 110 07
	Augmented or Punitive Damages	
	[8] The Effect of Fee-Award Statutes on Rule 68	
0 10 07	Settlement Offers	
§ 12.07	Interest	
	[1] Prejudgment Interest	
	[a] Patent Cases	
	[b] Trademark Counterfeiting Cases	
	[c] Other Nonpatent Cases	
	[d] Interest on Noncompensatory Awards	12-123
	[e] The Rate and Terms of Prejudgment	12 125
	Interest	
	[2] Post-judgment Interest	12-129
	CHAPTER 13	
	Nonmonetary Relief	
	i (oilii oilotti y iiloiloi	
\$ 12.01	•	12.2
§ 13.01	Injunctive Relief	
§ 13.01	Injunctive Relief	13-6
§ 13.01	Injunctive Relief	13-6 13-9
§ 13.01	Injunctive Relief	13-6 13-9 13-11
§ 13.01	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm	13-6 13-9 13-11 13-16
§ 13.01	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm	13-6 13-9 13-11 13-16 13-21
§ 13.01	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest	13-6 13-9 13-11 13-16 13-21 13-35
§ 13.01	Injunctive Relief	13-6 13-9 13-11 13-16 13-21 13-35 13-37
§ 13.01	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41
§ 13.01	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41
§ 13.01	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief [a] Trademark Cases.	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41 13-47
§ 13.01	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief [a] Trademark Cases [b] Trade Secret Cases	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41 13-47 13-49 13-52.1
	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief [a] Trademark Cases [b] Trade Secret Cases [c] Internet Copyright Cases	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41 13-47 13-52.1 13-53
§ 13.01	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief [a] Trademark Cases [b] Trade Secret Cases [c] Internet Copyright Cases Exclusion from Importation.	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41 13-47 13-49 13-52.1 13-53 13-54.3
	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief [a] Trademark Cases [b] Trade Secret Cases [c] Internet Copyright Cases Exclusion from Importation [1] Statutory Exclusion by the Customs Service	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41 13-47 13-49 13-52.1 13-53 13-54.3
	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief [a] Trademark Cases [b] Trade Secret Cases [c] Internet Copyright Cases Exclusion from Importation [1] Statutory Exclusion by the Customs Service [2] Tariff Act Exclusion: Section 337.	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41 13-47 13-49 13-52.1 13-53 13-54.3 13-54.4
	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief [a] Trademark Cases [b] Trade Secret Cases [c] Internet Copyright Cases Exclusion from Importation. [1] Statutory Exclusion by the Customs Service [2] Tariff Act Exclusion: Section 337. [a] USITC Proceedings	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41 13-49 13-52.1 13-53 13-54.3 13-54.4 13-61
	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief [a] Trademark Cases [b] Trade Secret Cases [c] Internet Copyright Cases Exclusion from Importation. [1] Statutory Exclusion by the Customs Service [2] Tariff Act Exclusion: Section 337. [a] USITC Proceedings [b] Substantive Requirements for Relief	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41 13-47 13-52.1 13-53 13-54.3 13-54.4 13-61 13-61
	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief [a] Trademark Cases [b] Trade Secret Cases [c] Internet Copyright Cases Exclusion from Importation. [1] Statutory Exclusion by the Customs Service [2] Tariff Act Exclusion: Section 337. [a] USITC Proceedings [b] Substantive Requirements for Relief [ii] The "Industry" Requirement.	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41 13-47 13-49 13-52.1 13-53 13-54.4 13-61 13-61 13-62
	Injunctive Relief. [1] Preliminary Injunctions [a] The Traditional, Four-Part Test [i] Likelihood of Success [ii] Balance of Harm [iii] Irreparable Harm [iv] The Public Interest [b] The Modern, Sliding-Scale Test [2] Permanent Injunctions [3] The Scope of Injunctive Relief [a] Trademark Cases [b] Trade Secret Cases [c] Internet Copyright Cases Exclusion from Importation. [1] Statutory Exclusion by the Customs Service [2] Tariff Act Exclusion: Section 337. [a] USITC Proceedings [b] Substantive Requirements for Relief	13-6 13-9 13-11 13-16 13-21 13-35 13-37 13-41 13-47 13-49 13-52.1 13-53 13-54.4 13-61 13-61 13-62

		TABLE OF CONTENTS	X1
§ 13.03	Impo	oundment and Destruction	13-70
	[1]	Impoundment and Destruction in General [a] Impoundment and Destruction Under	13-70
		the Copyright Act	13-71
		[i] Impoundment	13-72
		[ii] Destruction and Other Disposition	13-83
		[iii] Items Covered	13-86
		Infringement	13-88
		[c] Patent and Trade Secret Cases	13-90
	[2]	Seizure in Trademark Counterfeiting Cases	13-93
		[a] Protection for Defendants	13-96
		[i] Pre-Seizure Procedure	13-96
		[ii] Post-Seizure Procedure	13-100.1
		[b] Substantive Requirements for an	
		Ex Parte Seizure Order	13-102
		[c] Damage Action for Wrongful Seizure	13-104
		[i] Amounts Recoverable	13-104
		[ii] Which Seizures Are Wrongful	13-105
§ 13.04	Crim	inal Sanctions	13-108
Ü	[1]	Criminal Sanctions for Copyright Infringement	13-112
		[a] Civil Liability: the Sine Qua Non	13-118
		[b] The State-of-Mind Requirement	13-122
		[c] Numerical Thresholds for Felony	
		Offenses	13-126.2
		[d] Criminal Penalties	13-126.6
		[e] Seizure and Destruction (or Other	
		Disposition)	13-126.7
	[1A]	Criminal Sanctions for Violation of Performers'	
		Rights	13-126.8
		[a] The First Prerequisite: a Civil Case	13-126.10
		[b] Required State of Mind	13-126.12
		[c] Criminal Penalties for Violating	
		Performers' Rights	13-126.13
		[d] Forfeiture and Destruction	13-126.14
		[e] Border-Control Measures	13-126.16
	[2]	Criminal Sanctions for Trademark Offenses	13-126.17
		[a] Trademark Counterfeiting	13-126.18
		[i] Penalties	13-126.18
		[ii] Defenses	13-126.18
		[iii] Seizure and Forfeiture	13-131
		[b] Trafficking in Certain Counterfeit Labels	13-131
		[c] Trademark Offenses Under State Law	13-135
	[3]	Criminal Offenses Relating to Trade Secrets	13-136
		[a] Federal Crimes under the 1996 Act	13-137
		[i] Overview	13-140
		[ii] Elements of a 1996 Act Offense	13-147
		[iii] Kinds of Offenses and Related	
		Legal Problems	13-161

xii	I	INTELLECTUAL PROPERTY LAW					
		[iv] Protective Orders and Related Civil Proceedings	13-172				
		Other Laws	13-176				
	[b]	Release of Private Trade Secrets by Federal Employees	13-177				
	[c]	State Sanctions for Theft of Trade Secrets.	13-180				
INDEX .			I-1				