

Table of Contents

Chapter 1: Introduction to Motion Practice.....	1
1-1 WHAT IS A MOTION?.....	1
1-2 SOURCES OF RULES THAT GOVERN NEW YORK MOTION PRACTICE.....	2
1-2:1 CPLR	2
1-2:2 Uniform Rules	2
1-2:3 Rules of the Commercial Division	3
1-2:4 Rules of Individual Courts	3
1-2:5 Judges' Rules.....	3
1-3 TYPES OF MOTIONS	4
1-3:1 Motions Made by Notice of Motion	4
1-3:2 Motions by Order to Show Cause.....	4
1-3:3 Ex Parte Motions.....	5
1-4 WHERE ARE MOTIONS MADE?	5
1-4:1 Motions Made by Notice of Motion	5
1-4:2 Motions to Change Venue	6
1-4:3 Motions Made by Order to Show Cause.....	6
1-4:4 Ex Parte Motions.....	7
1-4:5 Motions in County Court	8
1-5 PRIOR JUDICIAL REVIEW AND PRE-MOTION CONFERENCES.....	8
1-6 MOTIONS GRANTED ON DEFAULT	9
1-7 WITHDRAWING A MOTION.....	10
1-8 FORM OF MOTION PAPERS	10
1-9 PAGE LIMITS	14
1-10 DECISIONS, ORDERS AND APPEALABLE PAPER	15
Chapter 2: How to Make a Motion	17
2-1 CHOOSING A RETURN DATE	17
2-1:1 Return Date Must Be a Proper Motion Date.....	18
2-1:2 Return Date Must Give Other Parties Sufficient Time to Respond.....	18

Table of Contents

2-1:3	Additional Time for Service by Overnight or Regular Mail	19
2-1:4	Adjournments	19
2-1:5	Court Appearances	20
2-2	COMPUTING THE DATE FOR ANSWERS AND REPLIES	20
2-2:1	Time for Serving Opposition and Reply Papers	20
2-2:2	Additional Time Based on Method of Service	21
2-2:3	Weekends and Holidays	22
2-2:4	Papers Served Out of Time	23
2-3	THE PAPERS THAT CONSTITUTE A MOTION	25
2-3:1	Notice of Motion	25
2-3:1.1	Unrequested Relief	25
2-3:1.2	Granting Requested Relief on Grounds Not Argued.....	27
2-3:2	Affidavits and Affirmations.....	28
2-3:2.1	Affidavits From Out-of-State Witnesses Executed Within the United States or Its Territories	29
2-3:2.2	Foreign Language Affidavits	31
2-3:3	Supporting Papers	32
2-3:4	Briefs	33
2-3:5	Request for Judicial Intervention in Initial Motion.....	33
2-3:6	Request for Oral Argument	34
2-4	CROSS-MOTIONS.....	35
2-4:1	Advantages and Disadvantages of Cross-Motions.....	36
2-4:2	How to Make a Cross-Motion	37
2-4:3	Time to Serve Cross-Motion	38
2-4:4	Opposition to Cross-Motion.....	39
2-5	REPLY PAPERS	40
2-5:1	New Arguments Cannot Be Made for the First Time on Reply	40
2-5:2	Sur-Replies Require Leave of Court	41
2-6	SERVICE OF PAPERS	42
2-6:1	Methods of Service.....	43
2-6:2	Proof of Service.....	44
2-6:3	Importance of Proper Service	45
2-6:4	Duty to Update Address	45
2-7	FILING OF PAPERS	46
2-7:1	Electronic Filing	46
2-8	EX PARTE MOTIONS AND ORDERS TO SHOW CAUSE	47

Table of Contents

2-8:1	When to Proceed by Order to Show Cause	47
2-8:2	Required Statement Regarding Prior Applications	48
2-8:3	Required Statement for Temporary Injunctive Relief	49
2-8:4	Procedures for Ex Parte Motions and Orders to Show Cause	50
2-8:5	Service Requirements for Orders to Show Cause	50
2-8:6	Reply Papers on Orders to Show Cause	51
2-9	FILING UNDER SEAL.....	51
Chapter 3: Motion to Dismiss		53
3-1	PROCEDURE FOR MOTION TO DISMISS	53
3-1:1	When a Motion to Dismiss May Be Made.....	54
3-1:1.1	Motion to Dismiss in Lieu of Responsive Pleading	54
3-1:1.2	Post-Answer Motion to Dismiss Based on Lack of Service.....	54
3-1:1.3	Motions to Dismiss After Service of Responsive Pleading	56
3-1:2	The Single Motion Rule and Its Exceptions.....	57
3-1:3	Waiver of Objections	60
3-1:3.1	Waiver of Non-Jurisdictional Defenses.....	61
3-1:3.2	Waiver of Jurisdictional Defenses	63
3-1:3.3	Effect of Amended Pleading on Pending Motion	65
3-1:3.4	Sua Sponte Dismissal.....	65
3-1:4	Automatic Stay of Disclosure	65
3-1:5	Continuance of Motion Pending Additional Disclosure.....	67
3-1:6	Immediate Trial or Inquest	68
3-2	DEFENSE FOUNDED ON DOCUMENTARY EVIDENCE	70
3-3	LACK OF SUBJECT MATTER JURISDICTION.....	74
3-3:1	Objection Is Non-Waivable and Can Be Raised at Any Time.....	74
3-3:2	Dismissal of Claim Brought in Wrong Court.....	75
3-3:3	Suits Against Foreign Corporations.....	76
3-3:4	Justiciability and Standing to Challenge Government Action	78
3-3:5	Other Grounds for Dismissal	80
3-4	LACK OF CAPACITY TO SUE	81
3-4:1	Waiver of the Lack of “Standing” Defense.....	83

Table of Contents

3-5	ANOTHER PENDING ACTION.....	84
3-5:1	Difference in Parties or Relief Sought.....	86
3-5:2	First-in-Time Rule and Exceptions	87
3-6	ARBITRATION AND AWARD	90
3-7	COLLATERAL ESTOPPEL	91
3-8	RES JUDICATA.....	95
3-8:1	Proceedings Giving Rise to Res Judicata.....	96
3-8:2	Effect of Failure to Assert Counterclaim in Prior Proceeding	98
3-9	DISCHARGE IN BANKRUPTCY	99
3-10	INFANCY OR DISABILITY OF MOVING PARTY.....	100
3-11	PAYMENT OR RELEASE.....	100
3-12	STATUTE OF LIMITATIONS	104
3-12:1	Essence of the Claim Controls the Statute of Limitations.....	107
3-12:2	Borrowing Statute.....	108
3-12:3	Accrual	112
3-12:3.1	Fraud Discovery Rule	114
3-12:3.2	Foreign Body Discovery Rule	115
3-12:3.3	Exposure to Harmful Substance	116
3-12:3.4	Continuing Wrong Doctrine	118
3-12:4	Tolling	120
3-12:4.1	General Considerations	120
3-12:4.2	Tolling of Professional Malpractice Claims.....	120
3-12:4.3	Fiduciary Toll	127
3-12:4.4	Stay of Commencement of an Action by Court or Statutory Prohibition	129
3-12:4.5	Arbitration.....	130
3-12:4.6	Dismissal of Prior Action	130
3-12:4.7	Equitable Tolling	136
3-12:4.8	Undue Influence and Duress.....	137
3-12:4.9	Other Statutory Tolls	138
3-12:4.10	Tolling Agreements.....	139
3-12:4.11	Tolling During Pendency of Class Action	140
3-12:4.12	Statute of Limitations for Defense or Counterclaim	141
3-12:4.13	Tolling Arising from Executive Orders Issued in Response to COVID-19 Pandemic	142
3-12:5	Relation Back of an Amended Claim	142

Table of Contents

3-12:6	Administrative Proceedings	144
3-12:7	Statutes of Limitations for Sex Offenses and Other Crimes	144
3-12:8	Wrongful Death Actions	145
3-13	STATUTE OF FRAUDS	146
3-13:1	Types of Agreements That Are Subject to Statute of Frauds.....	146
3-13:2	Impossibility of Performance Within One Year	149
3-13:3	Unsigned Documents and Multiple Writings.....	150
3-13:4	Electronic Signatures and Emails.....	151
3-13:5	Part Performance.....	152
3-13:5.1	“No Oral Modification” Clauses.....	153
3-13:6	Promissory Estoppel.....	154
3-14	IMPROPER IMPOSITION OF A COUNTERCLAIM	155
3-15	FAILURE TO STATE A CAUSE OF ACTION	156
3-15:1	Use of Factual Affidavits in Support of Motion	157
3-15:2	Use of Factual Affidavits in Opposition to Motion	158
3-16	INSUFFICIENCY OF PROCESS.....	159
3-16:1	Time to Assert Objection to Service of Process.....	159
3-16:2	The 120-Day Period to Serve Process.....	160
3-16:3	Proof of Service.....	163
3-16:3.1	Contents of the Proof of Service	163
3-16:3.2	When Proof of Service Must Be Filed	163
3-16:4	Procedure for Contesting Service of Process.....	164
3-16:5	Motion for Leave for Service by Alternative Means	167
3-17	LACK OF PERSONAL JURISDICTION	169
3-17:1	Presence Within the State.....	169
3-17:1.1	In-State Service and Transient Jurisdiction	169
3-17:1.2	Domicile Within the State.....	170
3-17:1.3	Corporation Doing Business Within the State	171
3-17:2	Contractual Consent to Jurisdiction	172
3-17:3	Long-Arm Jurisdiction	174
3-17:3.1	Statute Has Narrower Reach Than Constitutional Due Process.....	175
3-17:3.2	Long-Arm Jurisdiction Over Executors and Administrators.....	176
3-17:3.3	Transacting Business or Making Contract in Forum.....	176

Table of Contents

3-17:3.4	Defamation.....	179
3-17:3.5	Tortious Conduct Within the State, Including Acts of an Agent or Co-Conspirator.....	181
3-17:3.6	Extraterritorial Act Causing Injury Within the State	182
3-17:3.7	Jurisdiction Arising From Internet Activity	186
3-17:3.8	Corporate Officers and Directors.....	190
3-17:3.9	Declaratory Relief Concerning Foreign Libel Judgments.....	190
3-17:3.10	Ownership of Property Within the State.....	191
3-17:4	Constitutional Due Process	191
3-17:4.1	Minimum Contacts.....	192
3-17:4.2	Fair Play	193
3-17:4.3	Products Liability and Stream of Commerce	194
3-17:5	Effect of Counterclaim on Personal Jurisdiction Defense.....	196
3-17:6	Participation in Discovery Proceedings and Conferences	197
3-17:7	Participation in Settlement.....	198
3-17:8	Standards Governing Pre-Answer Motion to Dismiss for Lack of Personal Jurisdiction	198
3-18	LACK OF IN REM JURISDICTION	199
3-18:1	Marital Proceedings	199
3-18:2	In Rem Jurisdiction Over Real and Personal Property	200
3-18:3	Quasi In Rem Jurisdiction	201
3-19	FAILURE TO JOIN A NECESSARY PARTY.....	203
3-20	QUALIFIED STATUTORY IMMUNITY.....	208
3-21	MOTION TO DISMISS DEFENSE.....	210
3-22	CASES INVOLVING PUBLIC PETITION AND PARTICIPATION	211
3-23	CASES INVOLVING LICENSED ARCHITECTS, ENGINEERS, LAND SURVEYORS OR LANDSCAPE ARCHITECTS.....	213
3-24	LACK OF PARTICULARITY.....	214
3-24:1	Libel or Slander	214
3-24:2	Fraud, Mistake, Willful Default, Breach of Trust, Undue Influence	215

Table of Contents

3-24:3	Separation or Divorce.....	217
3-24:4	Satisfaction of Judgment in Suit Against Judgment Debtor or Co-Obligor.....	218
3-24:5	Law of a Foreign Country	218
3-24:6	Sale and Delivery of Goods or Performance of Labor or Services	219
3-24:7	Serious Injury in Automobile Accidents	220
3-24:8	Culpable Conduct by Officer, Director or Trustee of Non-Profit Corporation.....	221
3-25	INCONVENIENT FORUM.....	222
3-25:1	Foreign Forum Selection Clauses.....	222
3-25:2	Enforcement of Forum Selection Clause by Non-Signatories.....	224
3-25:3	Relevant Forum Non Conveniens Factors	224
3-25:4	Imposition of Terms.....	229
3-25:5	Application of General Obligations Law § 5-1402.....	229
3-25:6	Dismissal of Counterclaims.....	230
3-25:7	Child Custody Disputes.....	230
Chapter 4: Disclosure		233
4-1	SCOPE OF DISCOVERY	233
4-1:1	Broad Standard of Relevance	234
4-1:2	Third-Party Discovery	237
4-1:3	Authorizations.....	240
4-1:4	Electronically Stored Information (ESI)	241
	4-1:4.1 Duty to Preserve ESI	245
	4-1:4.2 Allocation of Costs of Production.....	250
4-1:5	Discovery of Social Media Content	252
4-1:6	Duty to Supplement.....	254
4-1:7	Pre-Action Disclosure.....	255
4-1:8	Informal Discovery	258
4-1:9	Insurance Information	259
4-2	PRIVILEGED MATTER	260
4-2:1	Immunity of Privileged Matter From Disclosure	260
4-2:2	Scope of Attorney-Client Privilege	261
	4-2:2.1 Joint Client Exception.....	264
	4-2:2.2 Fiduciary Exception	264
	4-2:2.3 Crime-Fraud Exception	265
	4-2:2.4 Choice of Law	265
4-2:3	Common Interest Privilege	266
4-2:4	Effect of Disclosure to Third Party.....	267

Table of Contents

4-2:5	Employee's Use of Company Email.....	268
4-2:6	At-Issue Waiver	268
4-2:7	Waiver During Litigation.....	270
4-2:8	Privilege Against Self-Incrimination	272
4-3	ATTORNEY WORK PRODUCT	274
4-3:1	Underlying Factual Material Not Protected	275
4-3:2	Waiver	276
4-4	TRIAL PREPARATION MATERIALS	276
4-4:1	Materials Prepared Before Litigation Commences.....	277
4-4:2	Materials Prepared Without Attorney Supervision.....	277
4-4:3	Witness Statements	277
4-4:4	Expert and Consultant Reports	278
4-4:5	Company Accident Reports.....	278
4-4:6	Reports Made by or for Insurers.....	279
4-4:7	Showing Needed to Obtain Disclosure	280
4-4:8	Protection Against Disclosure of Mental Impressions.....	282
4-4:9	Waiver	282
4-5	DOCUMENT REQUESTS AND INTERROGATORIES.....	283
4-5:1	Waiver of Objections	284
4-5:2	Responses and Objections.....	284
4-5:3	Privilege Logs	286
4-5:4	Confidential Settlement Information	288
4-5:5	Tax Returns	289
4-5:6	Third-Party Business Records.....	290
4-5:7	Testing	292
4-5:8	Limitations on Interrogatories	292
4-6	DEPOSITIONS.....	293
4-6:1	Conduct of Deposition	295
4-6:1.1	Limits to Number and Duration of Depositions	298
4-6:1.2	Telephonic and Videotaped Depositions.....	299
4-6:2	Location of Deposition	299
4-6:3	Limitation on Combined Use of Interrogatories and Depositions.....	300
4-6:4	Waiver of Protection for Documents Used to Refresh Recollection.....	301
4-6:5	Deposition of Corporate Designees.....	302
4-6:6	Deposition of Opposing Counsel.....	303

Table of Contents

4-7	REQUEST FOR ADMISSION	304
4-8	REQUESTS FOR EXAMINATION.....	306
4-8:1	Videotaping Independent Medical Examinations.....	308
4-8:2	Plaintiff’s Right to IME Observer.....	308
4-9	DEMAND FOR BILL OF PARTICULARS	308
4-9:1	Grounds for Objection.....	309
4-9:2	Amendment and Supplementation	310
4-9:3	Variance Between Bill of Particulars and Proof at Trial	312
4-9:4	Limitation on Combined Use of Interrogatories and Bills of Particulars	313
4-10	MOTIONS TO COMPEL DISCLOSURE	313
4-10:1	Place Where Motion Is to Be Made.....	314
4-10:2	Affirmation of Good Faith.....	315
4-10:3	Affidavit Concerning Privilege, Work Product or Trial Preparation	316
4-11	MOTION FOR PROTECTIVE ORDER	317
4-11:1	Confidential Materials.....	318
4-11:2	Stay of Discovery.....	320
4-12	MOTION FOR SANCTIONS.....	321
4-12:1	Affirmation of Good Faith	321
4-12:2	Types of Sanctions Available	322
4-12:3	Conditional Orders.....	324
4-12:4	Sanctions for Failure to Appear at Deposition	326
4-12:5	Sanctions in Absence of Prior Discovery Order	327
4-12:6	Spoliation of Evidence.....	328
4-12:7	Sanctions for Fraud on the Court.....	332
4-12:8	Monetary Sanctions.....	333
4-12:9	Waiver of Objections to Non-Compliance.....	334
4-12:10	Scope of Review	335
4-13	PERSONAL INJURY AND WRONGFUL DEATH CASES.....	335
4-14	EXPERT DISCLOSURE.....	338
4-14:1	Scope of Expert Disclosure (Non-Commercial Cases).....	338
4-14:2	Expert Depositions (Non-Commercial Cases)	341
4-14:2.1	By Consent	341
4-14:2.2	By Court Order.....	341

Table of Contents

4-14:3	Time to Make Expert Disclosure (Non-Commercial Cases).....	342
4-14:4	Rules Governing Expert Disclosure in the Commercial Division	344
4-14:5	Expert First Disclosed in Summary Judgment Papers.....	345
4-14:6	Expert Testimony Regarding Scientific Evidence	346
Chapter 5: Provisional Remedies		349
5-1	GENERAL REQUIREMENTS	349
5-1:1	Affidavit Concerning Prior Relief	349
5-1:2	Election of Remedies	350
5-1:3	Provisional Relief for Counterclaimant or Cross-Claimant	350
5-2	PREJUDGMENT ATTACHMENT	351
5-2:1	Grounds of Attachment	352
5-2:1.1	Non-Domiciliaries and Foreign Corporations	352
5-2:1.2	Domiciliary or Resident Who Cannot Be Served Personally.....	354
5-2:1.3	Defendant Who Secrets Assets.....	355
5-2:1.4	Victim of Crime Seeking Restitution From the Convicted Criminal	356
5-2:1.5	Action in Aid of Foreign Judgment	357
5-2:2	Property Subject to Attachment	357
5-2:2.1	Tangible Property	359
5-2:2.2	Certificated Stock and Negotiable Instruments.....	359
5-2:2.3	Intangible Interests	359
5-2:2.4	Bank Accounts	360
5-2:3	Motion for Order of Attachment or to Confirm Order.....	361
5-2:3.1	Content of Papers.....	362
5-2:3.2	Statement Concerning Prior Relief Sought or Obtained.....	364
5-2:3.3	Mandatory Undertaking.....	364
5-2:3.4	Filing of Papers	365
5-2:3.5	Demand for Papers	365
5-2:3.6	Content of Order of Attachment.....	366
5-2:3.7	Ex Parte Order of Attachment.....	366
5-2:3.8	Pre-Summons Order of Attachment	368

Table of Contents

	5-2:3.9	Ex Parte TRO Pending Attachment.....	369
	5-2:3.10	Disclosure Concerning Attachment.....	369
	5-2:3.11	Discharge of Attachment by Defendant...	370
	5-2:3.12	Vacating or Modifying Order of Attachment.....	371
	5-2:3.13	Annulment of Attachment.....	373
5-2:4	Levy by Sheriff		373
	5-2:4.1	Levy Upon Personal Property by Service of Order.....	373
	5-2:4.2	Duties of Garnishee.....	374
	5-2:4.3	Perfecting a Levy Made by Service of Order	376
	5-2:4.4	Special Proceeding to Compel Delivery or Payment	377
	5-2:4.5	Levy Upon Personal Property by Seizure	378
	5-2:4.6	Levy Upon Real Property	378
	5-2:4.7	Priority of Attachment Lien	379
	5-2:4.8	Sheriff's Duties After Levy	380
	5-2:4.9	Return, Release or Inventory of Levied Property.....	380
	5-2:4.10	Sheriff's Fees.....	381
5-2:5	Proceedings to Determine Adverse Claims		382
5-2:6	Damages for Wrongful Attachment		383
	5-2:6.1	Liability to Defendant	384
	5-2:6.2	Liability to Other Interested Persons	384
	5-2:6.3	Requirement of an Actual Attachment....	384
	5-2:6.4	Dismissal Not on the Merits Supports Damages.....	385
	5-2:6.5	Liability to Innocent Owners in Case of Joint Representation	386
	5-2:6.6	Recovery of Legal Expense.....	386
	5-2:6.7	Other Damages.....	387
	5-2:6.8	Interest.....	388
5-2:7	Attachment in Aid of Arbitration.....		388
5-2:8	Attachment as Basis for Quasi In Rem Jurisdiction		389
5-3	TEMPORARY RESTRAINING ORDERS.....		390
	5-3:1	Motion for TRO	390
	5-3:1.1	Ex Parte TRO	391
	5-3:1.2	Service of TRO.....	392
	5-3:1.3	Discretionary Undertaking.....	392

Table of Contents

	5-3:1.4	Duration of TRO.....	393
	5-3:2	Vacating or Modifying TRO.....	394
	5-3:3	Damages Sustained by Reason of TRO.....	394
	5-3:4	Contempt.....	395
5-4		PRELIMINARY INJUNCTIONS.....	397
	5-4:1	Motion for Preliminary Injunction.....	397
		5-4:1.1 Notice Requirement.....	397
		5-4:1.2 Mandatory and Prohibitory Injunctions.....	398
		5-4:1.3 Required Showing.....	398
		5-4:1.4 Irreparable Harm.....	399
		5-4:1.5 Statement Concerning Prior Relief Sought or Obtained.....	401
		5-4:1.6 Mandatory Undertaking.....	401
		5-4:1.7 Hearing.....	402
	5-4:2	Vacating or Modifying Preliminary Injunction.....	403
	5-4:3	Damages Caused by Preliminary Injunction.....	403
	5-4:4	Contempt.....	404
5-5		TEMPORARY RECEIVERSHIP.....	404
	5-5:1	Motion for Appointment of Temporary Receiver.....	404
	5-5:2	Powers of Temporary Receiver.....	407
	5-5:3	Receiver's Fees.....	408
	5-5:4	Undertaking.....	409
	5-5:5	Duration of Receivership.....	409
	5-5:6	Extension of Receivership.....	410
	5-5:7	Receivership Accounts.....	410
	5-5:8	Removal of Receiver.....	410
	5-5:9	Liability of Temporary Receiver.....	411
	5-5:10	Use of Counsel.....	412
5-6		NOTICE OF PENDENCY.....	413
	5-6:1	Actions in Which Notice of Pendency May Be Filed.....	413
	5-6:2	Establishing a Notice of Pendency.....	415
		5-6:2.1 Filing the Notice of Pendency.....	415
		5-6:2.2 Content of Notice.....	415
		5-6:2.3 Indexing the Notice.....	416
		5-6:2.4 Amendment of Notice.....	416
		5-6:2.5 Requirement of Filing a Facially Sufficient Complaint.....	417
	5-6:3	Effect of Notice.....	418
	5-6:4	Service of Summons.....	420
	5-6:5	Duration of Notice of Pendency.....	421

Table of Contents

5-6:6	Motion to Cancel Notice of Pendency.....	422
5-6:6.1	Standing to Make Motion	422
5-6:6.2	Mandatory Cancellation	423
5-6:6.3	Discretionary Cancellation	424
5-6:6.4	Costs and Expenses	425
5-6:6.5	Voluntary Cancellation	427
5-6:7	Undertaking	427
5-6:8	Successive Notices of Pendency	429
Chapter 6: Motions Concerning Parties		431
6-1	ADDING OR DROPPING NEW PARTIES.....	431
6-1:1	Amended Summons and Complaint	433
6-1:2	Tolling of Statute of Limitations	433
6-1:3	Effect of Intervening Legislation	434
6-2	INTERPLEADER	434
6-2:1	Nature of Action	434
6-2:2	Procedure for Commencing Interpleader Action	435
6-2:3	Multiple Actions or Claims Involving Stakeholder	435
6-2:4	Discharge of Stakeholder	436
6-2:4.1	Procedure for Discharge	436
6-2:4.2	Grounds to Deny Interpleader Relief	437
6-2:4.3	Stakeholder's Fees and Expenses	437
6-2:4.4	Interest to Claimant	438
6-2:5	Deposit Into Court as Basis of Jurisdiction	439
6-3	IMPLEADER	439
6-3:1	Grounds for Impleader	439
6-3:1.1	Subrogation Claims	441
6-3:1.2	Impleader Unavailable in Court of Claims.....	443
6-3:1.3	Third-Party Claim May Exceed Plaintiff's Demand.....	444
6-3:2	Procedure for Joining Third Party.....	444
6-3:3	Answer of Third-Party Defendant	445
6-3:3.1	Defenses Asserted by Third-Party Defendant.....	445
6-3:3.2	Claims Asserted by Third-Party Defendant.....	446
6-3:4	Claim by Plaintiff Against Third-Party Defendant.....	447
6-3:5	Dismissal or Separate Trial of Third-Party Complaint.....	448

Table of Contents

6-4	INTERVENTION.....	449
6-4:1	Mandatory Intervention	449
6-4:1.1	Right Conferred by Statute.....	449
6-4:1.2	Persons Who May Be Bound by Judgment	449
6-4:1.3	Action Affecting Property.....	451
6-4:1.4	Constitutional Challenges.....	453
6-4:1.5	Notice to the State Comptroller.....	454
6-4:2	Permissive Intervention.....	454
6-4:2.1	Prejudice as Ground for Denial	455
6-4:2.2	Restriction on Adding New Issues	456
6-4:3	Time to Make Motion	456
6-4:4	Intervention Pleading	458
6-5	SUBSTITUTION OF PARTIES.....	458
6-5:1	Effect of Death of a Party	459
6-5:2	Motion for Substitution.....	462
6-5:3	John Doe Defendants	464
6-5:4	Motion to Proceed Anonymously.....	465
Chapter 7: Miscellaneous Pretrial Motions		469
7-1	MOTION TO CHANGE VENUE	469
7-1:1	Rules of Venue.....	469
7-1:1.1	Plaintiff's Initial Choice of Venue	469
7-1:1.2	Contractual Forum Clauses	469
7-1:1.3	Venue Based on Residence or Occurrence of Events.....	471
7-1:1.4	Actions Involving Municipalities and Public Authorities	474
7-1:1.5	Special Proceedings.....	475
7-1:1.6	Real or Personal Property	476
7-1:1.7	Conflicting Venue Provisions	476
7-1:2	Motion to Change Venue Based on Improper Venue...	477
7-1:2.1	Time to Make Motion	477
7-1:2.2	Procedure for Making Motion.....	479
7-1:2.3	Where to Make Motion Based Upon Improper Venue	480
7-1:3	Other Grounds to Change Venue.....	481
7-1:3.1	Inability to Obtain Impartial Trial	482
7-1:3.2	Convenience of Witnesses and Interest of Justice.....	483
7-1:3.3	Change of Venue in Bench Trials	485

Table of Contents

	7-1:3.4	Venue in Actions Concerning Consumer Credit Transactions.....	486
	7-1:3.5	Disregard of Choice of Venue Clause in Actions Relating to Consumer Goods	486
7-2		CONSOLIDATION AND SEVERANCE.....	487
	7-2:1	Grounds for Consolidation or Joint Trial.....	487
	7-2:2	Distinction Between Consolidation and Joint Trial.....	489
	7-2:3	Consolidation of Cases Pending in Different Courts	490
	7-2:4	Severance of Claims.....	491
	7-2:5	Bifurcated Trials	494
7-3		MOTION TO STAY PROCEEDINGS	496
	7-3:1	Distinguished From Other Grounds for Stay	496
	7-3:2	Stay Distinguished From Injunction	497
	7-3:3	Stay of Related Proceeding	497
	7-3:4	Stay of Trial Pending Appeal.....	499
	7-3:5	Stay Pending Criminal Proceedings	499
	7-3:6	Stay of Execution	500
	7-3:7	Vacating Stay.....	501
7-4		MOTION FOR LEAVE TO REARGUE.....	501
	7-4:1	Grounds for Motion	501
	7-4:2	Procedural Requirements.....	503
	7-4:3	Time to Make Motion	504
	7-4:4	Appeal Issues.....	504
7-5		MOTION FOR LEAVE TO RENEW	505
	7-5:1	Grounds for Motion	505
	7-5:2	Procedural Requirements.....	508
	7-5:3	Time to Make Motion	508
	7-5:4	Combined Motion to Reargue and Renew.....	509
	7-5:5	Appeal Issues.....	509
7-6		RESPONSE TO SUMMONS WITH NOTICE.....	509
7-7		MOTION FOR LEAVE TO AMEND.....	512
	7-7:1	Liberal Amendment Rules	514
	7-7:2	Relation Back	517
	7-7:3	Costs and Continuances	521
	7-7:4	Amendment to Conform to the Evidence	522
7-8		MOTION TO DISQUALIFY COUNSEL.....	525
	7-8:1	Disqualification of Lawyer-Witness.....	526
		7-8:1.1 Advocate-Witness Rule.....	526
		7-8:1.2 Adverse Witness Rule	528
	7-8:2	Current Clients With Conflicting Interests.....	529

Table of Contents

7-8:3	Former Client	531
7-8:3.1	Representation Adverse to Former Client	531
7-8:3.2	Representation Adverse to Client of Former Firm.....	534
7-8:3.3	Imputation of Conflict and Screening.....	535
7-8:3.4	Representation Adverse to Departing Attorney's Client.....	537
7-8:4	Ex Parte Contact With Represented Clients.....	537
7-8:5	Disqualification of Former Judge	537
7-9	MOTION TO RECUSE.....	538
7-10	MOTION TO CORRECT PLEADINGS	540
7-10:1	Grounds for Motion for More Definite Statement ...	540
7-10:2	Grounds for Motion to Strike.....	541
7-10:3	Time to Make Motion	543
7-10:4	Effect of Motion on Time to Answer or Amend Challenged Pleading	543
7-10:5	Consequence of Failure to Amend If Motion Is Granted.....	544
7-10:6	Appealability	544
7-11	MOTION FOR SANCTIONS BASED UPON FRIVOLOUS CONDUCT	544
7-11:1	Requirement of Notice and Opportunity to Be Heard	545
7-11:2	Meaning of "Frivolous Conduct".....	545
7-11:3	Signing of Papers.....	549
7-11:4	Nature of the Award.....	549
7-11:5	Requirement of Reasoned Decision.....	550
7-11:6	Sanctions in Tort Actions Under CPLR 8303-a.....	550
7-12	JURY DEMAND	552
7-12:1	Issues Triable to a Jury.....	552
7-12:2	Jury Demand	553
7-12:2.1	Time to Make Demand.....	553
7-12:2.2	Specification of Issues.....	553
7-12:2.3	Waiver of Right to Jury Trial.....	554
7-12:2.4	Relief by the Court	556
7-12:3	Issues Triable by a Jury Revealed at Trial.....	557
	Chapter 8: Summary Dispositions.....	559
8-1	SUMMARY JUDGMENT	559
8-1:1	Time to Make Motion	559
8-1:2	Time to Make Cross-Motion for Summary Judgment.....	563

Table of Contents

8-1:3	Burden of Moving Party.....	565
8-1:4	Affidavits	568
8-1:4.1	Personal Knowledge	568
8-1:4.2	Permissible Uses of Attorney Affidavit	569
8-1:4.3	Expert Affidavits.....	570
8-1:4.4	Formal Requirements	571
8-1:4.5	Affidavit that Contradicts Prior Testimony	572
8-1:5	Copy of Pleadings	573
8-1:6	Admissible Evidence	574
8-1:7	Statement of Undisputed Facts	575
8-1:8	Burden of Non-Moving Party	576
8-1:9	Use of Hearsay by Non-Moving Party	577
8-1:10	Facts Unavailable to Non-Moving Party	578
8-1:11	Statement of Disputed Facts by Non-Moving Party	579
8-1:12	Partial Summary Judgment	580
8-1:13	Immediate Trial or Inquest	581
8-1:14	Additional Notice Requirement to Pro Se Consumer Defendant in Summary Judgment Motion in Action to Collect Consumer Debt.....	582
8-1:15	Claims Involving Public Petition and Participation	582
8-2	SUMMARY JUDGMENT IN LIEU OF COMPLAINT.....	583
8-2:1	Action Upon Instrument for the Payment of Money Only	584
8-2:1.1	Assertion of Defenses	587
8-2:1.2	Claims Against Guarantors	588
8-2:1.3	Additional Notice Requirement in CPLR 3213 Motion to Collect Consumer Debt	589
8-2:2	Action on a Judgment.....	590
8-2:2.1	Judgment of Another State	590
8-2:2.2	Foreign Country Judgment	592
8-2:2.3	Personal Jurisdiction Over Defendant in New York Not Required	595
8-2:3	Notice.....	596
8-2:3.1	Minimum Notice Required	596
8-2:3.2	Service of Opposition in Advance of Motion Date.....	597

Table of Contents

	8-2:3.3	Consequence of Insufficient Time for Response.....	597
8-2:4		Late Service of Opposition	598
8-2:5		Counterclaim.....	599
8-2:6		Conversion to Ordinary Action If Motion Is Denied	599
8-2:7		Automatic Stay	600
8-3		MOTION FOR DEFAULT.....	601
8-3:1		Grounds for Default	601
	8-3:1.1	Failure to Respond to Summons or Pleading.....	601
	8-3:1.2	Failure to Appear at Trial or Conference.....	602
8-3:2		Proof Required for Default.....	605
	8-3:2.1	Proof of Service.....	605
	8-3:2.2	Proof of Claim	606
	8-3:2.3	Motion for Default Based on a Summons With Notice.....	609
	8-3:2.4	Affidavit of Additional Mailing.....	609
	8-3:2.5	Jurisdiction by Attachment.....	612
	8-3:2.6	Affidavit of Nonmilitary Service.....	613
8-3:3		Motion to Extend Time to Answer	613
8-3:4		Clerk's Judgment for Sum Certain	616
	8-3:4.1	Default Judgment in Consumer Credit Action.....	618
8-3:5		Inquest on Damages	619
8-3:6		One-Year Limit to Take Proceedings for Default Judgment	621
8-3:7		Default Against Fewer Than All Defendants.....	625
8-3:8		Notice Requirements	626
8-3:9		Motion to Vacate Default Under CPLR 5015.....	628
8-3:10		Motion to Vacate Default Under CPLR 317	630
8-3:11		Clerk's Judgment Where Counterclaim Is Interposed.....	631
8-4		WANT OF PROSECUTION	631
8-4:1		Grounds for Dismissal Based Upon Want of Prosecution.....	631
	8-4:1.1	Requirement That One Year Elapse After Joinder of Issue and That Six Months Elapse After Any Preliminary Conference Order	633
	8-4:1.2	Requirement of Proper 90-Day Demand.....	634

Table of Contents

	8-4:1.3	Other Facial Requirements	635
	8-4:1.4	Service Requirements.....	636
8-4:2		Action by Court, Not Clerk, Is Required for Dismissal.....	637
8-4:3		Standing to Move for Dismissal.....	637
8-4:4		Compliance Within 90 Days of Demand Bars Dismissal	638
8-4:5		Effect of 90-Day Demand on Defendant's Right to Discovery.....	639
8-4:6		Party Who Cannot Comply Must Move to Vacate Demand or Seek Extension	639
8-4:7		Showing Required to Avoid Dismissal	640
	8-4:7.1	Justifiable Excuse for Delay.....	641
	8-4:7.2	Meritorious Cause of Action.....	643
8-4:8		Post-Note of Issue Delay	644
8-4:9		Effect of Dismissal on Recommencement of Action.....	644
8-4:10		Dismissal Does Not Bar Defense of Counterclaim.....	645
8-5		VOLUNTARY DISCONTINUANCE	646
8-5:1		Discontinuance by Notice.....	646
	8-5:1.1	Cross-Claim.....	646
	8-5:1.2	Summons With Notice.....	646
	8-5:1.3	Discontinuance After Motion to Dismiss	647
8-5:2		Discontinuance by Stipulation	647
8-5:3		Tax Delinquent Parcel of Land.....	648
8-5:4		Class Actions.....	649
8-5:5		Discontinuance by Order of Court	649
8-5:6		Effect of Voluntary Discontinuance.....	652
	8-5:6.1	Mortgage Foreclosure Actions.....	653
8-5:7		Vacatur of Discontinuance	653
8-6		CONFESSION OF JUDGMENT	654
8-6:1		Due Execution of Affidavit	656
8-6:2		Recitation of Facts Underlying Debt	657
8-6:3		County in Which Affidavit May Be Filed.....	658
8-6:4		Debt to Attorney	659
8-6:5		Three-Year Period for Entry of Judgment.....	659
8-6:6		Attack on Confession of Judgment	660
8-6:7		Execution Where Judgment Is Not All Due.....	662
8-6:8		Joint Debt.....	662
8-6:9		Cognovit Judgment of Sister State.....	662

Table of Contents

8-7	DISMISSAL OF ABANDONED CASE AFTER PLACEMENT ON TRIAL CALENDAR.....	663
8-7:1	Grounds for Dismissal.....	663
8-7:2	Effect of Vacatur of Note of Issue.....	664
8-7:3	Restoration to Trial Calendar as of Right.....	664
8-7:4	Showing Required After Dismissal.....	665
8-8	TENDER, CONDITIONAL OFFER OF LIQUIDATED DAMAGES, AND OFFER TO COMPROMISE.....	666
8-8:1	Tender.....	666
8-8:2	Offer to Liquidate Damages Conditionally.....	668
8-8:3	Offer to Compromise.....	670
Chapter 9: Trial Motions.....		673
9-1	MOTION FOR JUDGMENT DURING TRIAL.....	673
9-1:1	Legal Standard.....	673
9-1:2	Time to Make Motion.....	675
9-1:3	Specification of Grounds.....	677
9-1:4	Effect on Appellate Review of Failure to Make Motion for Directed Verdict.....	677
9-2	DIRECTED VERDICT IN INFORMED CONSENT CASE.....	677
9-3	MOTION FOR CONTINUANCE OR MISTRIAL.....	678
9-4	REFEREE'S REPORT OR VERDICT OF ADVISORY JURY.....	681
9-5	POST-TRIAL MOTIONS FOR JUDGMENT AND NEW TRIAL.....	684
9-5:1	Time to Make Motion.....	685
9-5:2	Single Motion Rule.....	686
9-5:3	Judgment Notwithstanding the Verdict.....	687
9-5:3.1	Legal Standard.....	687
9-5:3.2	General Verdicts and Multiple Theories of Liability.....	688
9-5:4	Verdict Against the Weight of the Evidence.....	688
9-5:5	Excessive or Insufficient Damages.....	689
9-5:6	New Trial in the Interest of Justice.....	691
9-5:7	Inconsistent or Compromise Verdict.....	693
9-5:8	Jury Deadlock.....	695
Chapter 10: Appeals and Motions to the Appellate Courts.....		697
10-1	APPELLATE PRACTICE RULES AND MOTION PROCEDURES.....	697
10-2	TAKING AN APPEAL.....	697

Table of Contents

10-2:1 Types of Appeal and Methods of Taking Appeal 698

10-2:2 Time to Take Appeal 698

10-2:3 Enlargement of Time to Take Appeal 700

10-2:4 Time to Take Cross-Appeal 703

10-2:5 Perfecting an Appeal..... 703

10-2:6 Enlarging Time to Perfect Appeal..... 705

10-2:7 Notice to Appellate Division of Change in Circumstances..... 706

10-3 MOTION FOR LEAVE TO APPEAL—APPELLATE DIVISION 706

10-3:1 Requirement of Appealable Paper 706

10-3:2 Orders Appealable as of Right..... 707

10-3:2.1 Appeals From Supreme Court and County Court 707

10-3:2.2 Appeals From Other Courts 708

10-3:3 Supreme Court and County Court Orders Appealable by Permission 709

10-3:4 Appeals to Appellate Division From Appellate Term 710

10-4 APPELLATE REVIEW OF EX PARTE OR SUA SPONTE ORDERS 710

10-5 MOTION FOR LEAVE TO APPEAL—COURT OF APPEALS 712

10-5:1 Appeal as of Right..... 712

10-5:2 Appeal by Permission 714

10-5:2.1 Permission of Either Appellate Division or Court of Appeals..... 714

10-5:2.2 Permission of Appellate Division Only 715

10-5:3 When an Appellate Order Is Deemed Final 716

10-6 MANDAMUS..... 717

10-7 MOTION FOR STAY PENDING APPEAL..... 718

Chapter 11: Arbitration 721

11-1 ENFORCEABILITY OF ARBITRATION AGREEMENTS 721

11-1:1 Justiciability of Underlying Dispute Is Not Required 721

11-1:2 Signed Agreement is Not Required 722

11-1:3 Public Policy Exceptions to Arbitrability 722

11-1:3.1 Discrimination, Sexual Assault, and Sexual Harassment Claims 724

Table of Contents

11-1:4	Enforceability Against Nonsignatories	726
11-1:4.1	Waiver or Voluntary Participation	726
11-1:4.2	Alter Ego	727
11-1:4.3	Equitable Estoppel.....	727
11-1:4.4	Successor Liability	728
11-1:5	Membership in a Group Whose Rules Require Arbitration	729
11-1:6	Inter-Relationship of Claims Involving Signatories and Nonsignatories	729
11-1:7	Enforcement by Nonsignatories.....	729
11-2	ROLE OF COURT IN DETERMINING ARBITRABILITY	730
11-2:1	Applicability of Federal Law to Agreements Affecting Interstate Commerce	731
11-2:2	Broad and Narrow Clauses.....	733
11-2:3	Fraud and Duress	735
11-2:4	Conditions Precedent.....	736
11-2:5	Statute of Limitations.....	737
11-2:6	Provisional Remedies.....	739
11-3	SPECIAL PROCEEDINGS RELATING TO ARBITRATION	741
11-4	APPLICATION TO COMPEL ARBITRATION.....	742
11-4:1	Waiver of Right to Arbitrate Through Litigation Conduct	743
11-4:2	Waiver of Right to Maintain Class Action Arbitration.....	745
11-5	APPLICATION TO STAY ARBITRATION	746
11-5:1	Grounds for Stay	746
11-5:2	Participation in Arbitration Waives Right to Seek Stay	747
11-5:3	Service of Demand for Arbitration and Time to Apply for Stay	748
11-5:4	Existence of Both Arbitrable and Non-Arbitrable Claims	750
11-5:5	Personal Jurisdiction.....	751
11-6	MOTION TO APPOINT ARBITRATOR	752
11-7	MOTIONS TO DISQUALIFY	753
11-7:1	Motion to Disqualify Arbitrator.....	753
11-7:2	Motion to Disqualify Arbitration Counsel	754
11-8	SUBPOENAS.....	755
11-9	COURT-ORDERED DISCOVERY IN AID OF ARBITRATION	756

Table of Contents

11-10	PROCEDURAL REQUIREMENTS	756
11-10:1	Oath of Arbitrator	757
11-10:2	Notice of Time and Place of Hearing	757
11-10:3	Right to Participate, Present Evidence and Cross-Examine Witnesses	759
11-10:4	Representation by Attorney	761
11-10:5	Conduct of the Hearing by the Arbitrators.....	761
11-11	THE ARBITRATION AWARD	762
11-11:1	Failure to Affirm Award Is Not a Fatal Defect	762
11-11:2	Time to Render Award May Be Extended.....	763
11-11:3	Delivery Requirement	763
11-11:4	Partial Final Awards	763
11-12	AWARD BY CONFESSION	764
11-13	MODIFICATION OF AWARD BY ARBITRATOR	765
11-13:1	Time to Make Application.....	765
11-13:2	Grounds for Modification by Arbitrator.....	766
11-13:3	Application to Clarify Award.....	767
11-14	APPLICATION TO CONFIRM AWARD	767
11-14:1	Confirmation of Award Based on Consumer Credit Transaction.....	769
11-15	TIME TO VACATE OR MODIFY AN AWARD	769
11-16	GROUND TO VACATE AWARD BY PARTICIPANT IN ARBITRATION	770
11-16:1	Fraud, Corruption or Misconduct	770
11-16:2	Partiality of the Arbitrator	772
11-16:3	Award That Exceeds Arbitrator's Power	774
11-16:3.1	Award That Violates Public Policy	774
11-16:3.2	Irrational Award	775
11-16:3.3	Award That Exceeds Arbitrator's Specifically Enumerated Limitations.....	777
11-16:3.4	Failure to Make Final and Definite Award.....	780
11-16:3.5	Punitive Damages	781
11-16:4	Failure to Follow Statutory Procedure	782
11-16:5	New Evidence Is Not Grounds to Vacate Award	782
11-16:6	Judicial Review of Compulsory Arbitration	782
11-17	GROUND TO VACATE AWARD BY NON-PARTICIPANT	783
11-18	GROUND FOR COURT TO MODIFY AWARD.....	783
11-19	REHEARING	784
11-20	SUBSTITUTION OF PARTIES	784

Table of Contents

11-21	ARBITRATOR FEES, EXPENSES AND ATTORNEY'S FEES.....	785
11-22	INTEREST	787
Chapter 12: Special Proceedings		789
12-1	NATURE OF A SPECIAL PROCEEDING	789
12-2	PARTIES IN A SPECIAL PROCEEDING	789
12-3	COMMENCEMENT OF A SPECIAL PROCEEDING.....	790
12-4	PLEADINGS IN A SPECIAL PROCEEDING	790
12-5	NOTICE OF PETITION AND ORDER TO SHOW CAUSE	792
12-5:1	Contents of Notice of Petition	792
12-5:2	Time to Serve Papers	792
12-5:3	Service of Process Requirements.....	793
12-5:4	Proceeding by Order to Show Cause.....	794
12-6	OBJECTIONS IN POINTS OF LAW.....	795
12-7	CORRECTION OF PLEADING DEFECTS	797
12-7:1	Types of Motions Permitted	797
12-7:2	Time to Make Motion	797
12-8	SEVERANCE.....	798
12-9	DISCLOSURE.....	798
12-10	HEARING.....	800
12-11	TRIAL	802
12-12	JUDGMENT	803
Chapter 13: Article 78 Proceedings		805
13-1	NATURE OF PROCEEDING	805
13-1:1	Legislative Acts.....	806
13-1:2	Finality and Exhaustion Requirement	807
13-1:3	Determinations in Civil and Criminal Matters.....	808
13-1:4	Hybrid Proceedings	810
13-2	PARTIES.....	811
13-2:1	Definition of "Body or Officer"	811
13-2:1.1	Corporations	811
13-2:1.2	Colleges and Universities.....	812
13-2:2	Successors and Holders of Agency Records.....	813
13-2:3	Necessary Parties	813
13-2:4	Intervention	814
13-2:5	Standing	815
13-3	QUESTIONS THAT MAY BE RAISED.....	815
13-3:1	Failure to Perform Duty Enjoined by Law.....	816
13-3:2	Proceeding Without or in Excess of Jurisdiction.....	817

Table of Contents

13-3:3	Review of Hearings Taken Pursuant to Law	818
13-3:4	Other Agency Review	823
13-3:4.1	Violation of Lawful Procedure.....	823
13-3:4.2	Error of Law.....	823
13-3:4.3	Arbitrary or Capricious Standard.....	824
13-3:4.4	Abuse of Discretion.....	826
13-4	PROCEDURE	828
13-4:1	Commencing a Proceeding.....	828
13-4:2	Venue.....	829
13-4:3	Service Requirements.....	829
13-4:3.1	Time to Serve Papers	829
13-4:3.2	Additional Service Upon Attorney General or Government.....	831
13-4:4	Pleadings	831
13-4:5	Filing of Transcript.....	832
13-4:6	Objections in Point of Law	833
13-4:7	Disclosure.....	834
13-4:8	Hearing and Determination; Transfer to Appellate Division	835
13-4:9	Trial	836
13-4:10	Appearance by Judicial Officer	836
13-5	STATUTE OF LIMITATIONS	837
13-6	STAY	839
13-7	SCOPE OF RELIEF	839

Chapter 14: Class Actions..... 843

14-1	PREREQUISITES TO A CLASS ACTION	843
14-1:1	Numerosity.....	844
14-1:2	Common Questions of Law or Fact.....	845
14-1:3	Typicality.....	848
14-1:4	Adequacy of Representation	849
14-1:4.1	Conflicts Between Representative and Class	849
14-1:4.2	Representative's Familiarity With Litigation	851
14-1:4.3	Adequacy of Representative's Financial Resources.....	851
14-1:4.4	Adequacy of Class Counsel.....	852
14-1:5	Superiority of Class Action to Other Methods of Adjudication	852
14-1:6	Class Actions Against the Government	853
14-1:7	Recovery of Penalties.....	854

Table of Contents

14-2	CLASS CERTIFICATION	855
14-2:1	Time to Move for Class Certification	855
14-2:2	Discovery Relevant to Class Certification	856
14-2:3	Procedure.....	857
14-2:3.1	Factors Relevant to Motion	859
14-2:3.2	Amending the Class and Decertification	863
14-2:3.3	Pre-Answer Motion to Dismiss Class Allegations.....	864
14-2:3.4	Appellate Review	865
14-3	DESCRIPTION OF CLASS.....	865
14-4	NOTICE OF CLASS ACTION	867
14-4:1	Notice in Class Actions Seeking Injunctive or Declaratory Relief	867
14-4:2	Contents of Notice	868
14-4:3	Method of Notice.....	869
14-4:4	Allocation of Expense of Notice	870
14-5	JUDGMENT	871
14-5:1	Requirement That Elements of Class Action Be Satisfied	871
14-5:2	Effect of Judgment	871
14-6	ACTIONS CONDUCTED PARTIALLY AS CLASS ACTIONS.....	873
14-7	ORDERS IN CONDUCT OF CLASS ACTIONS	873
14-8	DISMISSAL, DISCONTINUANCE OR COMPROMISE.....	875
14-8:1	Judicial Review of Class Settlements	875
14-8:2	Voluntary Dismissal.....	877
14-8:3	Notice Requirement.....	877
14-9	ATTORNEYS' FEES.....	878
14-9:1	Awards to Objectors and Non-Class Representatives	878
14-9:2	Reasonableness of Award	879
14-9:3	Awards to Class Representatives.....	881
Chapter 15: Judgment Enforcement		883
15-1	DEBT OR PROPERTY SUBJECT TO ENFORCEMENT	883
15-1:1	Debts Against Which a Money Judgment May Be Enforced	884
15-1:2	Property Against Which a Money Judgment May Be Enforced	885

Table of Contents

15-1:3 Proper Garnishee..... 886

15-1:4 Statute of Limitations on a
Money Judgment 888

15-2 TRANSFEREES OF PERSONAL PROPERTY OR
DEBT OWED TO JUDGMENT DEBTOR..... 888

15-2:1 Judgment Lien Based Upon Delivery of
Execution to Sheriff 889

15-2:2 Judgment Lien Based Upon Turnover Order or
Receivership..... 890

15-3 PRIORITIES AND LIENS ON REAL PROPERTY 890

15-3:1 Judgment Lien on Real Property Arising from
Docketing of Judgment 890

15-3:2 Judgment Lien on Real Property Arising from
Filing of Notice of Levy 892

15-3:3 Effect of Judgment Lien on Transfer of
Real Property..... 893

15-3:4 Cooperative Apartment Shares 894

15-3:5 Exceptions to Real Property Judgment Lien 894

15-3:6 Extension of Judgment Lien 896

15-3:7 Limited Priority Against Bankruptcy Filing 898

15-4 RELEASE OF LIEN OR LEVY UPON APPEAL 898

15-5 PERSONAL PROPERTY EXEMPTION 898

15-5:1 Necessities and Personal Items..... 898

15-5:2 Damages for Taking or Injuring Exempt
Property..... 900

15-5:3 Trust Exemption 900

15-5:3.1 Qualified Domestic Relations Order
(QDRO) and Spousal or Child Support
Exception..... 901

15-5:3.2 Exception for Transfers Made to
Exempt Trust Within 90 Days of
Claim or Subsequently, or Fraudulent
Transfers 902

15-5:4 Income Exemptions 902

15-5:5 Armed Forces Exemption 904

15-5:6 Unpaid Milk Proceeds Exemption 905

15-5:7 Security Deposit Exemption 905

15-5:8 Medical and Dental Equipment Exemption..... 905

15-5:9 Life Insurance Policy Exemption 905

15-5:10 College Tuition Savings Program Exemption..... 906

15-5:11 Exemption for Funds of Convicted Person..... 906

Table of Contents

15-5:12	Exemption for Direct Deposit of Exempt Funds	907
15-6	REAL PROPERTY EXEMPTION	907
15-6:1	Nature of the Exemption	908
15-6:2	Homestead Exemption After Owner's Death	909
15-6:3	Suspension of Occupation	909
15-6:4	Sale of Homestead.....	909
15-6:5	Burial Ground Exemption	910
15-7	JUDGMENT ENFORCEMENT INVOLVING THE STATE.....	911
15-8	ENFORCEMENT OF JUDGMENT AFTER DEATH OF JUDGMENT DEBTOR	912
15-9	DISCHARGE OF GARNISHEE'S OBLIGATION	913
15-10	POWER OF COURT TO PUNISH CONTEMPT	914
15-11	GRANT OF IMMUNITY FOR JUDGMENT ENFORCEMENT TESTIMONY	915
15-12	WHERE ENFORCEMENT PROCEEDINGS MAY BE COMMENCED	915
15-13	RESTRAINING NOTICES	918
15-13:1	Issuance and Service	918
15-13:2	Contents and Form.....	918
15-13:3	Effect of Restraining Notice	919
15-13:4	Duration and Service of Subsequent Restraining Order	921
15-13:5	Notice to Judgment Debtor or Obligor.....	922
15-13:6	Additional Requirements for Banking Institutions	922
15-13:6.1	Service of Exemption Notice and Exemption Claim Forms.....	922
15-13:6.2	Claim of Exemption	923
15-13:6.3	The Separate Entity Rule	925
15-14	DISCLOSURE IN AID OF JUDGMENT ENFORCEMENT	925
15-14:1	Subpoena ad Testificandum.....	927
15-14:2	Subpoena Duces Tecum.....	928
15-14:3	Information Subpoenas	929
15-15	TURNOVER ORDERS — PROPERTY OF THE JUDGMENT DEBTOR.....	930
15-16	INSTALLMENT PAYMENT ORDER.....	933
15-17	PAYMENT OF DEBTS OWED TO JUDGMENT DEBTOR	934
15-18	APPOINTMENT OF RECEIVER	936

Table of Contents

15-19	ENFORCEMENT BEFORE JUDGMENT IS ENTERED.....	938
15-20	PROPERTY EXECUTIONS.....	938
15-20:1	Form of Property Execution.....	938
15-20:2	Issuance of Execution.....	941
15-20:3	Return of Execution.....	942
15-20:4	Duties of Sheriff or Support Collection Unit.....	943
15-21	INCOME EXECUTION.....	944
15-21:1	Form of Income Execution.....	944
15-21:2	Limits on Amount of Income that Can be Collected.....	945
15-21:3	Sheriff's Duties.....	946
15-21:3.1	Service.....	946
15-21:3.2	Levy.....	946
15-21:3.3	Accounting.....	947
15-21:3.4	Poundage, Mileage, and Additional Compensation.....	947
15-21:4	Withholding of Income by Garnishee.....	948
15-21:5	Required Statement on Income Execution.....	948
15-21:6	Levy Upon Money Payable by State or Municipal Corporation.....	948
15-21:7	Modification.....	949
15-21:8	Priority Between Income Executions.....	949
15-21:9	Income Execution for Support Enforcement.....	949
15-21:10	Income Deduction Order for Support Enforcement.....	951
15-22	LEVY UPON PERSONAL PROPERTY.....	952
15-22:1	Levy by Seizure.....	952
15-22:2	Levy by Execution.....	953
15-22:3	Notice to Judgment Debtor.....	955
15-22:4	Executions and Levies on Bank Accounts.....	956
15-22:5	Sale of Personal Property.....	957
15-22:6	Garnishee's Set-Off Rights.....	958
15-23	DISTRIBUTION OF PROCEEDS OF PERSONAL PROPERTY.....	958
15-24	PRIORITIES AMONG CREDITORS IN PROCEEDS OF PERSONAL PROPERTY.....	958
15-24:1	Priority Among Execution Creditors.....	958
15-24:2	Priority Among Other Creditors.....	960
15-24:3	Rights of Secured Parties.....	961
15-25	SALE OF REAL PROPERTY.....	961
15-25:1	Time of Sale; Public Auction.....	961

Table of Contents

15-25:2	Mortgage Debt Exception.....	962
15-25:3	Notice of Sale.....	962
15-25:4	Notice of Postponement of Sale.....	964
15-25:5	Effect of Notice on Judgment Creditors.....	965
15-25:6	Delivery of Property and Proofs of Notice.....	965
15-25:7	Disposition of Proceeds of Sale.....	966
15-26	FAILURE OF TITLE TO PROPERTY SOLD.....	966
15-27	DIRECTIONS TO THE SHERIFF.....	967
15-28	PROCEEDING TO DETERMINE ADVERSE CLAIMS.....	967
15-29	MODIFICATION OR PROTECTIVE ORDER.....	969
15-30	ARREST OF JUDGMENT DEBTOR.....	970
	Appendix of Forms.....	971
	Table of Cases.....	1185
	Table of Statutes and Rules.....	1395
	Index.....	1423